



March 4, 2010

***The earthquake on 2010/03/04 did not affect the company's financial and operational conditions significantly***

1. Date of occurrence of the event: 2010/03/04
2. Company name: Yuanta Financial Holdings
3. Relationship to the Company (please enter "head office" or "affiliate company"): Head office
4. Reciprocal shareholding ratios: N/A
5. Cause of occurrence: The earthquake on 2010/03/04 did not affect the company's financial and operational conditions significantly.
6. Countermeasures: None.
7. Any other matters that need to be specified: None.