

July 19, 2019

Yuanta FHC announces change of Controllers of Yuanta Securities Vietnam

1. Date of occurrence of the change: 2019/07/19
2. Appointment of or changed personnel (juristic-person director, juristic-person supervisor, independent director, natural-person director or natural-person supervisor) : Natural-person controller
3. Title, name and resume of the replaced personnel:
Mr. Ong Cheow Kheng/Chairman of Board of Controllers, Yuanta Securities Vietnam
Mr. Mac Huu Danh/ Controller of Yuanta Securities Vietnam
Mr. Lu Chia-Hsiung/ Controller of Yuanta Securities Vietnam
4. Title, name and resume of the new personnel:
Mr. Ong Cheow Kheng/Chairman of Board of Controllers, Yuanta Securities Vietnam
Mr. Mac Huu Danh/ Controller of Yuanta Securities Vietnam
Mr. Lu Chia-Hsiung/ Controller of Yuanta Securities Vietnam
5. Type of the change (please enter: "resignation", "discharge", "tenure expired", "death" or "new appointment") : new appointment
6. Reason for the change: New election of Controllers due to the transformation of Company.
7. Number of shares held by the new personnel at the time of appointment:
N/A
8. Original term (from _____ to _____) : N/A
9. Effective date of the new appointment: 2019/07/19
10. Rate of turnover of directors of the same term: N/A
11. Rate of turnover of supervisor of the same term: N/A
12. Rate of turnover of independent director of the same term: N/A
13. Change in one-third or more of directors ("Yes" or "No") : No
14. Any other matters that need to be specified: N/A