

May 21, 2019

Yuanta FHC announces 10th-term directors and independent directors of Yuanta Securities

- 1.Date of occurrence of the change:2019/05/21
- 2.Appointment of or changed personnel (juristic-person director, juristic-person supervisor, independent director, natural-person director or natural-person supervisor): natural-person director and independent director
- 3.Title, name and resume of the replaced personnel:

Directors:

- (1)Tony Shen/Chairman of Yuanta Securities
- (2)Ming Heng Ho/Vice Chairman of Yuanta Securities
- (3)Wei Cheng Huang/President of Yuanta Securities
- (4)Yung Ling Ma/Director of Yuanta Securities
- (5)Yu Chih Huang/Director of Yuanta Securities
- (6)Ching Kuang Shen/Director of Yuanta Securities
- (7)Lung Fan Lin/Director of Yuanta Securities
- (8)Rui Chen Ma/Director of Yuanta Securities
- (9)Guh Bin Huang/Director of Yuanta Securities
- (10)Yueh Tsang Lee/Director of Yuanta Securities

Independent Directors:

- (1)Tu Mu Kuo/Independent Director of Yuanta Securities
- (2)Ching Shan Hung/Independent Director of Yuanta Securities
- (3)Kun Hung Lai/Independent Director of Yuanta Securities
- (4)Shou Shan Wu/Independent Director of Yuanta Securities
- (5)Chuang Li Chang/Independent Director of Yuanta Securities
- (6)Nai Kuan Huang/Independent Director of Yuanta Securities

- 4.Title, name and resume of the new personnel:

Directors:

- (1)Tony Shen/Chairman of Yuanta Securities
- (2)Ming Heng Ho/Vice Chairman of Yuanta Securities
- (3)Wei Cheng Huang/President of Yuanta Securities
- (4)Yung Ling Ma/Director of Yuanta Securities
- (5)Yu Chih Huang/Director of Yuanta Securities
- (6)Ching Kuang Shen/Director of Yuanta Securities
- (7)Lung Fan Lin/Director of Yuanta Securities
- (8)Rui Chen Ma/Director of Yuanta Securities

- (9)Guh Bin Huang/Director of Yuanta Securities
- (10)Yueh Tsang Lee/Director of Yuanta Securities
- (11)Hsiu Wei Chen/Executive Vice President of Yuanta Financial Holdings
- (12)Victor Ma/Chairman of TWTC International Trade Building Corporation

Independent Directors:

- (1)Tu Mu Kuo/Independent Director of Yuanta Securities
 - (2)Ching Shan Hung/Independent Director of Yuanta Securities
 - (3)Kun Hung Lai/Independent Director of Yuanta Securities
 - (4)Nai Kuan Huang/Independent Director of Yuanta Securities
 - (5)Hsing Yi Chow/Professor of Department of Finance of National Chengchi University
 - (6)Yui Chun Wu/Director-General, Securities and Futures Bureau, Financial Supervisory Commission
- 5.Type of the change (please enter: “resignation”, “discharge”, “tenure expired”, “death” or “new appointment”):Tenure expired
- 6.Reason for the change:Tenure expired
- 7.Number of shares held by the new personnel at the time of appointment:N/A
- 8.Original term (from _____ to _____):2016/06/01~2019/05/31
- 9.Effective date of the new appointment:2019/06/01
- 10.Rate of turnover of directors of the same term:N/A
- 11.Rate of turnover of supervisor of the same term:N/A
- 12.Rate of turnover of independent director of the same term:N/A
- 13.Change in one-third or more of directors (“Yes” or “No”):N/A
- 14.Any other matters that need to be specified:N/A