Yuanta Financial Holding Company Environmental Sustainability and Human Rights Commitment

- 1. Company suppliers shall adhere to all relevant labor laws, guarantee the legal rights of their employees, adhere to internationally recognized labor standards such as the prohibition of child labor (the prohibition of employing workers under 16 years of age), care for disadvantaged groups, elimination of all forms of forced labor, and the elimination of discrimination in hiring and employment, among others, and shall not allow any situation that imperils basic labor rights.
- 2. Suppliers' human resource policies shall respect basic labor rights and protection principles, and shall establish appropriate management measures and procedures thereto.
- 3. Suppliers shall confirm that their hiring and employment policies do not allow discrimination on the basis of gender, race, age, marriage or family status, or other forms of differential or unequal treatment, remuneration, conditions of employment, or opportunities for training or promotion.
- 4. Suppliers shall guarantee and protect the human dignity and basic rights of their employees.
- 5. Suppliers shall adhere to all relevant environmental laws and international norms, appropriately protect the natural environment, and shall make every reasonable effort to achieve the goal of environmental sustainability as they carry out their business activities.
- 6. Suppliers shall establish effective environmental protection and energy saving management systems, and shall have corporate cultures capable of fulfilling environmental sustainability development, in order to protect the earth, value resources, and achieve both environmental protection and energy saving.
- 7. Suppliers shall avoid polluting the air, water, and land in the course of their operations; if this is impossible due to cost-effectiveness, technological or financial feasibility, they shall make every possible effort to minimize the negative impact of these operations on human health and the environment, and shall adopt the most effective feasible pollution prevention and control measures.

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8. In cases where suppliers have violated their corporate social responsibility policies, or have had a significant negative impact on the natural environment or society, their contracts with the Company may be terminated or dissolved at any time.