

October 15, 2019

**Yuanta FHC announces Yuanta Securities Finance scheduled the closing date for the acceptance of business transfer from Global Securities Finance on October 21, 2019.**

1. Date of occurrence of the event: 2019/10/15
2. Company name: Yuanta Securities Finance
3. Relationship to the Company (please enter "head office" or "subsidiaries") : subsidiaries
4. Reciprocal shareholding ratios: 100%
5. Cause of occurrence:
  - (1) The Financial Supervisory Commission R.O.C. (Taiwan) approved Yuanta Securities Finance to accept the business transfer from Global Securities Finance (reference approval letter: Jin-Guan-Jheng-Tou-Zih no.1080360681 dated October 14, 2019)
  - (2) With the authority granted to the chairman by the shareholders resolution of Yuanta Securities Finance on May 29, 2019, Yuanta Securities Finance and Global Securities Finance scheduled the closing date for the business transfer on October 21, 2019.
6. Countermeasures: N/A
7. Any other matters that need to be specified: N/A