

**Education and Professional Background of the Candidates Nominated for Yuanta FHC's Tenth (10th) Board of Directors:**

Title	Name (Gender)	Educational Background/ Work Experience	Professional Background/ Contribution
Independent Director	Sharon Sheau-Wen Yang (Female)	<p>Education:</p> <ul style="list-style-type: none"> <li>-PhD, Actuaries, Heriot-Watt University, UK</li> </ul> <p>Current Positions:</p> <ul style="list-style-type: none"> <li>-Professor, Department of Money and Banking; Director, Financial Research Center, National Chengchi University, Taiwan</li> <li>-Independent Director, Yuanta Financial Holding Co., Ltd.</li> <li>-Independent Director, Yuanta Life Insurance Co., Ltd.</li> <li>-Director, Yuanta Cultural and Educational Foundation</li> <li>-Independent Director, Sporton International Inc.</li> <li>-Trading Committee Convener, Taiwan Futures Exchange</li> <li>-Vice Chairperson, Pension Fund Association, R.O.C.</li> <li>-Policy Research Subcommittee Member, Accreditation Committee Member for New Forms of Life Insurance Products, Life Insurance Association of the Republic of China</li> <li>-Insurance Business Development Fund Management Committee Member, Financial Supervisory Commission, R.O.C.(Taiwan)</li> <li>-Carbon Fee Rate Review Committee Member, Ministry of Environment (Taiwan)</li> <li>-The 5th Greenhouse Gas Management Fund Management Committee Member, Ministry of Environment (Taiwan)</li> </ul> <p>Experience:</p> <ul style="list-style-type: none"> <li>-Director, Advisory Committee Member, Takeover Committee Member, New Early Warning Project Advisory Committee Member, Taiwan Insurance Guaranty Fund</li> <li>-Investment-Linked Insurance Review Committee Member, Insurance Bureau, Financial Supervisory Commission, R.O.C.(Taiwan)</li> <li>-Settlement Committee Convener, Taiwan Futures Exchange</li> <li>-Adjunct Professor, Department of Risk Management and Insurance, National Chengchi University, Taiwan</li> <li>-Clerk, Mathematical Department, Cathay Life Insurance Co., Ltd.</li> </ul>	<p>Ms. Sharon Sheau-Wen Yang is a professor in the Department of Money and Banking at the National Chengchi University (NCCU) and is the director of the Financial Research Center established by the School of Business of NCCU to actively promote research and industry-academia cooperation in two distinctive areas: ESG (environmental, social, and governance) sustainable finance and digital finance, and nurture talents in related fields. She holds a PhD in Actuaries from Heriot-Watt University, UK and a Master of Actuarial Science from the University of Iowa, USA. Ms. Yang's research interests include sustainable finance, ESG responsible investment, financial institution risk management, pension fund management and investment, financial instrument innovation, and actuarial finance. Her research has been published in the Taiwan Ministry of Science and Technology's distinguished international journals in the fields of finance and insurance actuarial. She possesses expertise in the areas of sustainable finance, risk management, and financial insurance. Ms. Yang is currently a management committee member of the Insurance Business Development Fund of Taiwan's Financial Supervisory Commission (FSC), a policy research subcommittee member and an accreditation committee member for new forms of life insurance products of the Life Insurance Association of the Republic of China, a trading committee member and convener of the Taiwan Futures Exchange, a consultant of the Financial Ombudsman Institution, the vice chairperson of the Pension Fund Association, R.O.C. She has also served as a carbon fee rate review committee member of Taiwan's Ministry of Environment (MOE) since March 2024 and as a member of the 5th greenhouse gas management fund management committee of MOE since March 2025. Apart from contributing to the development of the financial industry, Ms. Yang has also assisted the government in overseeing the carbon fee, and continues to contribute to the promotion of sustainable development and net-zero carbon emissions. Ms. Yang has also been involved in many government and industry research projects, such as "Opportunities and Challenges for Taiwan's Development of Green Finance," "Formulation of ESG Disclosure Regulations and Practical Guidelines on ESG Investment and Risk Management Processes for Investment and Trust Companies in Taiwan While Building a Database of ESG in Taiwan," "Establishment of the Tax-Free Individual Investment Savings Account (TISA) Mechanism in Taiwan," "Research on the Taiwan Labor Pension System Self-Selection Platform," "Pension System Alternative Investments," "IFRS17 Discount Rate and Liability Valuation," "GMXB Commodity Investment Strategy and Pricing and Risk Assessment," "Insurance Asset Economic Scenario Development and Application," "Longevity Risk," etc. Yuanta Financial Holding Company (FHC) will leverage Ms. Yang's expertise in finance and actuarial science, risk management, insurance, sustainable financial development, corporate governance, and financial operations to continue to assist Yuanta FHC in the promotion and execution of its medium- and long-term development strategies. Ms. Yang has served as an adjunct professor at the Department of Risk Management and Insurance at NCCU, a risk control and management committee member of the Futures Trust Fund of the Chinese National Futures Association, and a director of the Asia-Pacific Risk Management and Insurance Association. Her research expertise lies in financial actuarial science, risk management, financial supervision, ESG responsible investment, and pension systems and investments. During her teaching career, she was entrusted to lead risk management-related projects on many occasions, including "Pension Fund Investment and Hedging Strategies: Analysis of Volatility Index Characteristics, Model Construction and Evaluation of VIX Derivatives," "Research on Risk Management and Market Consistency Evaluation Methods in the Insurance Industry," "Analysis of Risk Measurement and Reasonable Premiums under the Regional and Graded Typhoon and Flood Disaster Insurance System" and "Research on the Investment and Hedging Effectiveness of Innovative Financial Products of Senior Social Insurance Policy Discounts," commissioned by the National Science and Technology Council, and "Formulation of ESG Disclosure Regulations and Practical Guidelines on ESG Investment and Risk Management Processes for Investment and Trust Companies in Taiwan" commissioned by the Securities Investment Trust and Consulting Association of the Republic of China. She has extensive experience in research and teaching related to investment and risk management, and will continue to assist Yuanta FHC in implementing risk management.</p>
Independent Director	Rosemary Yung-Hsin Wang (Female)	<p>Education:</p> <ul style="list-style-type: none"> <li>-Master of Business Administration (MBA), National Chengchi University</li> </ul> <p>Current Positions:</p> <ul style="list-style-type: none"> <li>-Association Affairs Advisor, Taiwan Securities Association</li> </ul> <p>Experience:</p> <ul style="list-style-type: none"> <li>-Director General, Deputy Director General, Chief Secretary, Team Leader, Securities and Futures Bureau, Financial Supervisory Commission, R.O.C.(Taiwan)</li> <li>-Director, Supervisor, Taipei Exchange</li> <li>-Director, Taiwan Insurance Institute</li> <li>-Representative, Central American Bank for Economic Integration in the Republic of China (Taiwan)</li> </ul>	<p>Ms. Rosemary Yung-Hsin Wang holds a Master's degree in Business Administration (MBA) from the National Chengchi University. She currently serves as an association affairs advisor to the Taiwan Securities Association, and served as the director general (6/2016-5/2020), deputy director general, team leader, and chief secretary (10/2004-6/2016) of the Securities and Futures Bureau of Taiwan's Financial Supervisory Commission (FSC), and as a board director and supervisor of the Taipei Exchange (7/2016-5/2020), a board director of the Taiwan Insurance Institute (2/2020-3/2022), and representative of the Central American Bank for Economic Integration in the Republic of China (Taiwan) (4/2022-4/2023), among others. Ms. Wang worked in the Securities and Futures Bureau of the FSC for 30 years, where she was responsible for the supervision and sound development of Taiwan's capital and futures markets, and has continued to be involved in the promotion of reform projects in the issuance and trading sides of the securities market, asset management, and the futures market. During her tenure as the director of the Securities and Futures Bureau, Ms. Wang accomplished a number of significant measures, including: strengthening corporate governance to enhance the competitiveness of Taiwan's capital market, which led to a significant improvement in Taiwan's ranking in the Asian Corporate Governance Rating; promoting a multi-tiered capital market, which assisted enterprises of different sizes to raise capital and attracted foreign capital to invest in Taiwan's stock market; promoting the issuance of international bonds, facilitating the diversification of the bond market in Taiwan, establishing a specialized international bond market, and opening up green bonds and Islamic fixed income securities; developing new securities commodities such as ETFs and ETNs, relaxing regulations to allow securities dealers to issue ETNs, and promoting the diversification of the types of ETF tracking targets; adopting incentives to expand the scale of asset management for the investment and trust industry; promoting the convergence of the trading system with the international standard, establishing a transaction-by-transaction system for the securities market to enhance the efficiency and transparency of transactions, and setting up an after-hours trading system and futures price dynamics stabilization measures in the futures market to improve the development of the futures market. Ms. Wang has made significant contributions to the reform of Taiwan's securities and futures markets, the improvement of trading systems, and the promotion of diversified commodities. Yuanta FHC will leverage her operational practices in corporate governance, financial supervision, financial instruments, and asset management, as well as her expertise in the securities market to deepen Yuanta FHC's operations and management.</p>

Independent Director	Mang-Chih Lee (Female)	<p>Education: -Bachelor of Laws, National Taiwan University</p> <p>Current Position: -None</p> <p>Experience: -Head, Legal Affairs Division; Director General, Chief Secretary, Insurance Bureau, Financial Supervisory Commission, R.O.C.(Taiwan) -Chairlady, Financial Ombudsman Institution -Head, Legal Affairs Office, Director, Central Deposit Insurance Corporation -Deputy Chief Executive Officer, Union Bank of Taiwan -Director, Agricultural Credit Guarantee Fund</p>	<p>Ms. Mang-Chih Lee graduated from the National Taiwan University with a Bachelor of Laws degree. She served as the head of the Legal Affairs Office of the Central Deposit Insurance Corporation (8/1998-8/2010), the head of the Legal Affairs Division of Taiwan's Financial Supervisory Commission (FSC) (8/2010-7/2014), and the chief secretary (8/2014-4/2015) and director general (4/2015-8/2017) of FSC's Insurance Bureau; and she was the chairlady of the Financial Ombudsman Institution (9/2017-9/2020), a director of Central Deposit Insurance Corporation and Agricultural Credit Guarantee Fund, and the deputy chief executive officer of the Union Bank of Taiwan (10/2020-8/2024). Ms. Lee has professional legal knowledge and extensive practical experience in banking and insurance.</p> <p>During her tenure as the director general of the Insurance Bureau, Ms. Lee had an in-depth understanding of the needs of the financial market. In order to keep the business development of the Insurance Bureau up-to-date, she urged the industry to "emphasize on risk and integrity," and to eliminate malpractices. She achieved "creating a win-win situation for finance and industry" through the policy objectives of "nurturing regional financial institutions in Asia," "building research and development capacity for financial products," "assisting the development of industries in general," and "adequately protecting the rights and interests of consumers." She also promoted "differentiated management" of insurers based on two major indicators, namely "compliance with laws and regulations" and "consumer protection," to encourage insurers to enhance their internal controls and strengthen their underwriting capabilities, so as to improve the performance of the insurance industry.</p> <p>When she was the head of the Legal Affairs Division of the FSC, Ms. Lee completed the enactment of the <i>Financial Consumer Protection Act</i> and the establishment of the Financial Ombudsman Institution. When she was the chairlady of the Financial Ombudsman Institution, she continued to implement the objectives of the <i>Financial Consumer Protection Act</i> to protect the rights and interests of financial consumers by handling financial consumer disputes in a fair, reasonable and effective manner. She also organized financial education and awareness-raising to effectively prevent financial consumer disputes. She also actively cooperated with the supervisory policies of the FSC to assist in the sound development of the financial market.</p> <p>Yuanta FHC will leverage on Ms. Lee's in-depth legal knowledge, insurance supervision and dispute resolution experience, as well as expertise in regulatory and internal control planning to enhance the Company's operations and management systems.</p>
Independent Director	Tzong-Chen Wu (Male)	<p>Education: -Computer Science, National Chiao Tung University</p> <p>Current Positions: -Distinguished Professor, Department of Information Management, National Taiwan University of Science and Technology -Chairman, Telecom Technology Center</p> <p>Experience: -Dean, School of Management; Chair, Professor, Associate Professor, Department of Information Management, National Taiwan University of Science and Technology -Director, Cybersecurity Research and Teaching Center, National Taiwan University of Science and Technology -Chairman, Chinese Cryptology and Information Security Association -Advisor, Advisory Office, Ministry of Education (Taiwan)</p>	<p>Mr. Tzong-Chen Wu has a Ph.D. in Computer Science from the National Chiao Tung University. He is currently a distinguished professor at the Department of Information Management of the National Taiwan University of Science and Technology and chairman of the board of directors of the Telecom Technology Center (10/2019-5/2025). He served as associate professor, professor, and department chair of the Information Management Department, the director of the Cybersecurity Research and Teaching Center, and dean of the School of Management. He was also the chairman of the Chinese Cryptology and Information Security Association (5/2006-5/2012) and an advisor in the Advisory Office of Taiwan's Ministry of Education.</p> <p>Mr. Wu specializes in information security. During his teaching career, he actively engaged in industry-academia cooperation and hosted a national program to cultivate elite talents in information security. He was the coordinator of the Ministry of Education's 2016-2025 Information Security Talent Cultivation Program, and has spared no effort in cultivating talents in information security to enhance the capacity of industrial information security. Mr. Wu's areas of expertise and research include public key cryptography (such as public key cryptosystems, electronic signatures, etc.), cryptographic protocols (such as identity authentication, data authentication, smart card security, biometrics, etc.), and cryptographic system applications (such as broadcast encryption, electronic currency, electronic voting, electronic auctions, electronic payments, e-commerce, e-government, electronic health records, cloud computing security, privacy protection, address service privacy protection, etc.). He has served as an expert consultant for various levels of governments in information security, contributing his professional knowledge in the practical field and leading the information security community to participate in international academic organizations and establish a platform for cooperation and exchanges, and has been recognized for his outstanding contributions. He is also a professional leader in the field of information security and has served as the chairperson of several research projects, including "Research and Development of New Generation Information Security Technology and Testing Services," "Information Security Practices R&amp;D Program Planning," "Personal Data Protection Mechanism for Bank Information Systems," and "Assessment of Second Generation of Health Care Network Services and Overall Network Security."</p> <p>Yuanta FHC will leverage Mr. Wu's expertise in information security to implement and deepen various information security improvement measures in order to provide diversified and convenient financial services, as well as a secure and stable digital financial transaction environment to protect Yuanta FHC's and customers' financial assets and personal data, and to ensure the continuity of its operations.</p>

<p>Director (Representative of Tsun Chueh Investment)</p>	<p>Chien Weng (Male)</p>	<p>Education: -Bachelor of Laws, Fu Jen Catholic University</p> <p>Current Positions: -Director, Yuanta Financial Holding Co., Ltd. -Chief Executive Officer, Yuanta Financial Holding Co., Ltd. -Director, Yuanta Bank Co., Ltd. -Independent Director, Taiwan FamilyMart Co., Ltd. -Director, Yuanta Cultural and Educational Foundation</p> <p>Experience: -Chairman, Yuanta Bank Co., Ltd. -Director, President, Vice President, Polaris Securities Co., Ltd. -Managing Director, Vice President, Chief Secretary of the Board of Directors, Overseas-Chinese Banking Corporation Ltd. (OCBC) -Auditor-General, Yuanta Financial Holding Co., Ltd. -Auditor-General, Yuanta Bank Co., Ltd. -Chairman, Yuanta Venture Capital Co., Ltd. -Director, Yuanta Life Insurance Co., Ltd.</p>	<p>Mr. Chien Weng has been the chief executive officer (CEO) of Yuanta FHC for almost six years since July 26, 2019 and was the chairman of Yuanta Bank from October 2020 to August 2023. He has been involved in the financial field for over 30 years and has experience in securities, banking, venture capital, life insurance, and financial holdings. He served as the director, president, and vice president of Polaris Securities, managing director and vice president of Oversea-Chinese Banking Corporation Ltd. (OCBC), auditor-general of Yuanta Bank, auditor-general of Yuanta FHC, director of Yuanta Life, and chairman of Yuanta Venture Capital. During the 30-year period, Mr. Weng was involved in the completion of many major cases, including the 4-in-1 merger of Polaris Securities, which nearly doubled its business base and increased its brokerage market share to the third largest in Taiwan at that time. He took part in the ownership of OCBC, carried out the overall transformation of OCBC, and sold it to Citibank two years later, creating a win-win-win situation for shareholders, customers, and employees. He was engaged in the merger of Yuanta Securities and Polaris Securities, and after the merger, Yuanta became the leader in the securities, futures, and investment trust related businesses. Yuanta has retained professional talent and protected employees to establish a model for mergers and acquisitions in Taiwan's financial industry and has created the Group's competitive advantage.</p> <p>During Mr. Weng's tenure as the CEO of Yuanta FHC, he has promoted mutual assistance among Yuanta Group's subsidiaries, bringing the big along with the small to magnify the Group's interests, and actively coordinated and integrated the resources of the subsidiaries to expand their businesses, achieving twice the result with half the effort. For example, Yuanta Funds' assets under management reached NT\$2,225 billion by the end of 2024, representing an increase of NT\$737.5 billion or a growth rate of 50% from 2023, and the assets under management have grown by more than 20% for four consecutive years. Mr. Weng's efforts in promoting the Group's synergies are further demonstrated in Yuanta FHC's profitability, whereby Yuanta FHC's after-tax net income grew steadily year-on-year from NT\$24.1 billion in 2020 to a record high of NT\$35.83 billion in 2024, representing an annual growth rate of 34.9%. By the end of 2024, Yuanta FHC's market capitalization has surpassed that of E.SUN Financial Holdings to become the fifth largest financial holding company in Taiwan by market capitalization.</p> <p>Furthermore, under the leadership of Mr. Weng, Yuanta FHC's performance in the area of sustainability has been impressive. As a member of the Coalition of Movers and Shakers on Sustainable Finance designated by Taiwan's Financial Supervisory Commission (FSC), Yuanta FHC is committed to taking more active actions in the five areas of green procurement, funding and engagement, information disclosure, assistance and promotion, and international outreach. Yuanta FHC also serves as the convener of the Empowerment and Certification Working Group of the FSC's Net-Zero Promotion Working Platform together with the Securities and Futures Institute to promote the "Certificate of Competency in Sustainability" and to plan a complete learning and testing system to help enterprises cultivate and recruit green-collar talents. During this period, Yuanta FHC not only has been selected as a constituent of the Dow Jones Sustainability World Index and the Dow Jones Sustainability Emerging Markets Index for six consecutive years, but has also been ranked No. 1 in the S&amp;P Global Corporate Sustainability Assessment three times in the diversified financial services and capital markets category (No. 1 in the world in the diversified financial services and capital markets category for the last two years), becoming the first Taiwanese financial company ever to be ranked No. 1 in this category. In terms of corporate governance, Yuanta FHC has been ranked among the top 5% of listed companies in the Taiwan Stock Exchange's Corporate Governance Evaluation for eight consecutive years. Last year, it was also ranked among the top 10% of TWSE/TPEX-listed companies in the financial and insurance sector.</p> <p>Yuanta FHC will leverage Mr. Weng as a key facilitator between corporate decision-making and execution. In the face of a rapidly changing political, economic, and financial landscape, he will continue to lead managers and colleagues in addressing challenges, identifying business opportunities, and implementing board decisions to achieve the sustainable development objectives of Yuanta FHC.</p>
<p>Director (Representative of Modern Investment)</p>	<p>Bobby Wei-Cherng Hwang (Male)</p>	<p>Education: -Master of Business Administration (MBA), University of California, Riverside, USA</p> <p>Current Positions: -Chief Strategy Officer, Chief International Operations Officer, Yuanta Financial Holding Co., Ltd. -Director, Yuanta Securities Co., Ltd. -Director, Yuanta Securities Asia Financial Services Ptd. Ltd. -Director, Yuanta Asia Investment (Hong Kong) Ltd. -Director, Yuanta Securities (Hong Kong) Co., Ltd. -Director, Yuanta Securities (Thailand) Co., Ltd. -Authorized Representative, Yuanta Securities (Vietnam) Co., Ltd.</p> <p>Experience: -Vice Chairman, Chief Executive Officer, Executive Vice President, Yuanta Securities Co., Ltd.; Senior Vice President, Assistant Vice President, Manager of the International Operations Department, Yuanta Securities Co., Ltd. -Chief Executive Officer, Yuanta Securities Korea Co., Ltd. -Executive Vice President, Yuanta Bank Co., Ltd. -Manager, President Securities Corp</p>	<p>Mr. Bobby Wei-Cherng Hwang has served as Yuanta FHC's executive vice president and chief international operations officer (CIOO) since October 2023 and as Yuanta FHC's chief strategy officer and CIOO since July 2024 on a full-time basis. Mr. Hwang has served at Yuanta for nearly 30 years, holding various positions including senior vice president, vice president, and assistant vice president of Yuanta Securities' International Operations Department, executive vice president of Yuanta Bank, chief executive officer of Yuanta Securities Korea Co., Ltd., vice chairman, chief executive officer, and executive vice president of Yuanta Securities. He possesses extensive experience in cross-operational management and mergers and acquisitions. During this period, he was involved in the acquisition of 92.5% of Cathay Capital Securities (Thailand) by Yuanta Securities in 1998 and the equity swap of Yuanta Securities (Thailand) with Kim Eng Securities (Singapore) in 2001. Through the cooperation with Kim Eng Securities, Yuanta Securities has expanded its business territory in Asia and successfully established Kim Eng Securities as the leading brokerage firm in the region. Subsequently, he assisted Yuanta Securities in disposing of its stake in Kim Eng Securities in 2010, generating capital gains of NT\$7.187 billion. In 2014, he again participated in Yuanta Securities' acquisition of TONGYANG Securities (later renamed Yuanta Securities Korea Co., Ltd.).</p> <p>Mr. Hwang was the chief executive officer (CEO) of Yuanta Securities Korea Co., Ltd. from July 2014 to March 2019. During his tenure as CEO, Mr. Hwang proactively restructured the organization and business, and established a new brand image under the slogan "We Know Asia." Originally estimated to take three years to break even, Yuanta Securities Korea turned a profit in its second year, going from a loss of NT\$4.9 billion in the previous year to a profit of NT\$1.6 billion. Later, Yuanta Securities Korea's profits rose year by year, and by the time he stepped down as CEO of Yuanta Securities Korea, the company's profits for the year 2018 reached NT\$2.869 billion.</p> <p>Mr. Hwang served as CEO of Yuanta Securities from March 2019 to March 2022. With the goal of "deepening roots in Taiwan, looking to the world, local living, global investment," he continued to deepen various core businesses and expand overseas markets. During his tenure as CEO of Yuanta Securities, he led the company to achieve profits of NT\$8.888 billion in 2018, which grew to NT\$23.293 billion in 2021, representing a 162% increase in profits and setting a new record for Yuanta Securities' highest profits ever. After being promoted to vice chairman of Yuanta Securities in April 2022, Mr. Hwang concurrently assumed the role of CIOO of Yuanta FHC in October 2023, continuing to strengthen the Group's overseas operational performance. In July 2024, he was appointed as the chief strategy officer and CIOO of Yuanta FHC on a full-time basis.</p> <p>Yuanta FHC will leverage Mr. Hwang's wide-ranging experience in managing multinational enterprises to continue deepening the contribution of each business unit's core operations, focusing on business layouts with growth potential, strengthening overseas operations, and enhancing the vertical efficiency of cross-border business integration, thereby improving the Group's integrated growth benefits.</p>

<p>Director (Natural Person)</p>	<p>Victor Wei-Chien Ma (Male)</p>	<p>Education: -Master of Business Administration (MBA), University of California, Berkeley, USA</p> <p>Current Positions: -Director, Yuanta Securities Co., Ltd. -Director, Yuanta Life Insurance Co., Ltd. -Chairman, Yuanta Cultural and Educational Foundation -Chairman, TWTC International Trade Building Corporation -Director, Yuanta Construction Development Co., Ltd.</p> <p>Experience: -Director, Yuanta Financial Holding Co., Ltd. -Chief Executive Officer, Yuanta Financial Holding Co., Ltd. -Chairman, Yuanta Securities Co., Ltd. -Director, Yuanta Bank Co., Ltd. -Director, Yuanta Venture Capital Co., Ltd. -Director, Yuanta Asset Management Co., Ltd. -Vice Chairman, Yuanta Securities Asia Financial Services Ptd. Ltd. -Director, Yuanta Securities (Hong Kong) Co., Ltd. -Director, Kim Eng Holdings Ltd. (Singapore) -Executive Vice President, Vice President, Yuanta Core Pacific Securities Co., Ltd.</p>	<p>Mr. Victor Wei-Chien Ma has a Master's degree in Business Administration (MBA) from the University of California, Berkeley, USA. He is currently a director of Yuanta Securities and Yuanta Life. He also served as the vice chairman of Yuanta Securities Asia Financial Services, the chief executive officer (CEO) of Yuanta FHC, the chairman of Fuhwa Securities Finance, the executive vice president of Yuanta Core Pacific Securities (YCPS) and the vice president of the international operations department of YCPS, and has complete experience in the operation and management of securities and financial holding companies.</p> <p>During Mr. Ma's tenure as the vice president of the international operations department of YCPS from December 1998 to January 2005, he made significant contributions to the internationalization of YCPS, including his participation in the merger and acquisition of 92.5% of Cathay Capital Securities in Thailand by Yuanta Securities. He was also involved in the equity swap of Yuanta Securities (Thailand) with Kim Eng Securities (Singapore) in 2001. Through the cooperation with Kim Eng Securities, Yuanta Securities has expanded its business territory in Asia and successfully established Kim Eng Securities as the leading brokerage firm in the region.</p> <p>When Mr. Ma was the CEO of the Yuanta FHC from July 2007 to May 2009, he led the merger and integration process between the subsidiaries of Fuhwa Financial Holdings and the YCPS Group, including the merger of YCPS and Fuhwa Securities, the merger of Yuanta Futures and Fuhwa Futures, and the elevation of Yuanta Futures and Yuanta Securities Investment Consulting to wholly-owned subsidiaries of Yuanta FHC. Additionally, he oversaw the disposal of all shares of Fuhwa Investment Trust to Manulife Group (Manulife Asset Management (Hong Kong) Limited). To improve the operational structure of Yuanta Bank, he utilized Yuanta Securities to reduce its capital by NT\$16 billion in 2007 and NT\$10 billion in 2008, which was then remitted to Yuanta FHC. Yuanta FHC subsequently increased the capital of Yuanta Bank by NT\$10 billion to assist Yuanta Bank in actively writing off bad debts and improving asset quality, thereby laying a solid foundation for Yuanta Bank's future operations. As Yuanta Securities is a leading brokerage firm in Taiwan and its corporate governance systems and regulations are a model for domestic brokerage firms, Mr. Ma, when he served as the CEO of Yuanta FHC, actively promoted the incorporation of Yuanta Securities' corporate governance systems and the spirit of Yuanta into Yuanta FHC, and set up a chief operational support officer and functional heads to oversee the promotion and execution of Yuanta Group's various integrated businesses. Yuanta FHC also underwent a comprehensive review of its corporate governance system in 2008 by an external independent professional organization, the Taiwan Corporate Governance Association, based on six major aspects, and obtained the "CG6003 Corporate Governance System Assessment" certification, demonstrating that external independent professional organizations recognize Yuanta FHC's achievements in promoting corporate governance.</p> <p>Mr. Ma has extensive experience in cross-border corporate mergers and acquisitions, implementing corporate governance systems, and managing financial holding companies. Yuanta FHC will leverage on his operational management vision and insights to provide advice on the Group's business strategies, improve the Group's various corporate governance systems, and enhance the Group's integration and growth effectiveness.</p>
<p>Director (Natural Person)</p>	<p>Michael Wei-Chen Ma (Male)</p>	<p>Education: -Bachelor of Business, University of Southern California, USA</p> <p>Current Positions: -Director, Yuanta Financial Holding Co., Ltd. -Director, Yuanta Life Insurance Co., Ltd. -Director, Yuanta Bank Co., Ltd. -Director, Yuanta Cultural and Educational Foundation -Director, Taiwan Stock Exchange Corporation -Director, TWTC International Trade Building Corporation -Director, Yuanta Construction Development Co., Ltd. -Director, Tsai Chang Hai Education Foundation</p> <p>Experience: -Vice Chairman, Yuanta Life Insurance Co., Ltd. -Chairman, Yuanta Cultural and Educational Foundation -Chief Executive Vice President, Yuanta Financial Holding Co., Ltd. -Vice Chairman, Yuanta Bank Co., Ltd. -Director, Yuanta Core Pacific Securities Co., Ltd.</p>	<p>Mr. Wei-Chen Ma was the chief executive vice president of Yuanta FHC from September 2017 to May 2019 and the vice chairman of Yuanta Bank from July 2015 to March 2018. During this period, he transferred the advantages of Yuanta Securities' well-established corporate governance system, corporate culture, and execution to Yuanta Bank for organizational transformation and business restructuring, which led to the gradual improvement of Yuanta Bank's business structure. Mr. Ma was the vice chairman of Yuanta Life from February 2016 to January 2018. During this period, he actively promoted the restructuring of Yuanta Life by setting up independent directors and an audit committee to replace the supervisors, and devoted himself to promoting investment-linked products and protection-type and long-term products to build up the brand awareness of Yuanta Life. Mr. Ma also led Yuanta Life to turn losses into profits ahead of schedule in 2018 with differentiated products, sound investment strategies, and excellent risk control, and in recent years, Yuanta Life's profits have reached record highs year after year, making it an important profit engine for Yuanta FHC.</p> <p>Since becoming a director of Yuanta FHC in June 2013, Mr. Ma has developed Yuanta FHC's three-pillar strategy of securities, banking, and insurance, which enabled Yuanta FHC to successfully complete the merger and acquisition of Yuanta Life (formerly New York Life) and enter the life insurance business in 2014. In 2016, Yuanta FHC completed the merger and acquisition of Ta Chong Bank, and after the merger of Yuanta Bank and Ta Chong Bank, the total asset scale of Yuanta Bank jumped to over NTD1.26 trillion, ranking the 7th largest among all private banks in Taiwan. During this period, Yuanta FHC's after-tax net income grew steadily year-on-year from NT\$7.7 billion in 2013 to a record high of NT\$35.83 billion in 2024. By the end of 2024, Yuanta FHC's market capitalization has surpassed that of E.SUN Financial Holdings to become the fifth largest financial holding company in Taiwan by market capitalization. The asset scale grew from NT\$865.1 billion by the end of 2013 to NT\$3,715.7 billion by the end of 2024, representing a 3.3-fold increase in asset scale. With the full support of the board of directors, Yuanta FHC not only has been selected as a constituent of the Dow Jones Sustainability World Index and the Dow Jones Sustainability Emerging Markets Index for six consecutive years, but has also been ranked No. 1 in the S&amp;P Global Corporate Sustainability Assessment three times in the diversified financial services and capital markets category (No. 1 in the world in the diversified financial services and capital markets category for the last two years), becoming the first Taiwanese financial company ever to be ranked No. 1 in this category.</p> <p>With his insight into the financial sector and other industries, Mr. Ma has made significant contributions in guiding the future direction of Yuanta Group and promoting financial and business integration, enabling Yuanta FHC to develop from a business model that used to focus on the securities market to a financial holding company with five profit engines: securities, banking, life insurance, investment trust, and futures. Through Yuanta Group's differentiated services and products, Mr. Ma will continue to drive the steady growth of Yuanta Group's five major business units towards the vision of being the "Best Financial Services Provider in Asia Pacific."</p>

<p>Director (Representative of Tsun Chueh Investment)</p>	<p>Chung-Yuan Chen (Male)</p>	<p>Education: -Taipei KaiNan Vocational High School</p> <p>Current Positions: -Director, Yuanta Financial Holding Co., Ltd. -Director, Yuanta Bank Co., Ltd. -Chairman, Taipei Jiao Hsiou Temple -Supervisor, Residence of the Summit</p> <p>Experience: -Political Advisor, Executive Yuan, R.O.C. -Managing Director, Taiwan Power Company -Chairman, Taipei City Friends of Firefighters Association -Supervisor, ChangJia M &amp; E Engineering Corp. -Chairman, Taipei Private Yanping High School</p>	<p>Mr. Chung-Yuan Chen was an advisor to Taiwan's Executive Yuan, a managing director of Taiwan Power Company, the chairman of Taipei City Friends of Firefighters Association, and a supervisor of ChangJia M &amp; E Engineering Corp. He has extensive experience in the industry, is widely known, and enjoys good reputation in the industry. He has in-depth knowledge of company management, industry development trends, and related risk control.</p> <p>Mr. Chen is currently a director of Yuanta FHC and Yuanta Bank. During his tenure, he fully utilizes his expertise in company operation and industry experience to provide various professional advice. As Yuanta Bank's real estate lending accounts for about 60% of the total loans, Mr. Chen is familiar with the real estate market in various districts and has a deep network of contacts. He can often provide his unique insights on the 5Ps of credit, which not only helps Yuanta Bank grasp the quality of credit products, but also provides the management team with a diversified risk review mechanism during credit acquisition and review of related credit cases.</p> <p>During Mr. Chen's tenure as a director of Yuanta FHC, Yuanta FHC's after-tax net income grew steadily year-on-year from NT\$24.1 billion in 2020 to a record high of NT\$35.83 billion in 2024, representing an annual growth rate of 34.9%. By the end of 2024, Yuanta FHC's market capitalization has surpassed that of E.SUN Financial Holdings to become the fifth largest financial holding company in Taiwan by market capitalization. With the full support of the board of directors, Yuanta FHC not only has been selected as a constituent of the Dow Jones Sustainability World Index and the Dow Jones Sustainability Emerging Markets Index for six consecutive years, but has also been ranked No. 1 in the S&amp;P Global Corporate Sustainability Assessment three times in the diversified financial services and capital markets category (No. 1 in the world in the diversified financial services and capital markets category for the last two years), becoming the first Taiwanese financial company ever to be ranked No. 1 in this category.</p> <p>Yuanta FHC will continue to rely on Mr. Chen's extensive experience in business management and industry to assist Yuanta FHC in overseeing and mastering the relevant business risks, further understanding the policy direction and industry needs, and continuing to enhance Yuanta FHC's professional performance in the field of financial commodity services.</p>
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