

Yuanta FHC's Tenth Board of Directors Continuing Education

Name	Title	Course Dates		Course Name	Organizer	Course Hours (Hour)
		Starting	Ending			
Chien Weng	Chairman of the Board	2025.05.19	2025.05.19	Trends in Artificial Intelligence Technology and Industrial Development	Institute of Financial Law and Crime Prevention	2
		2025.07.10	2025.07.10	International Trends in Sustainable Governance and Green Risk Response Strategies (including Sustainability Information)	Taiwan Securities Association	3
		2025.07.18	2025.07.18	Prevention of Insider Trading and Latest Practical Developments	Institute of Financial Law and Crime Prevention	2
		2025.08.07	2025.08.07	Sharing on the Trends of Preventing Money Laundering and Combating Terrorist Financing and the Practical Methods of Preventing Insider Trading (including Anti-Corruption and Anti-Weapons Proliferation)	Institute of Financial Law and Crime Prevention	3
		2025.09.18	2025.09.18	<i>Financial Consumer Protection Act</i> , Fair Treatment of Customers and Financial Accessibility, and the Convention on the Rights of Persons with Disabilities (CRPD)	Taiwan Securities Association	3
		2025.10.16	2025.10.16	Security Challenges and Future Trends of Artificial Intelligence under the Risks of Digital Technology	Taiwan Securities Association	3
		2025.11.04	2025.11.04	Comprehensive Fraud Prevention Strategies	Institute of Financial Law and Crime Prevention	2
		2026.03.19	2026.03.19	Information Security Strategy and Practice	Taiwan Securities Association	3
Bobby Wei-Cherng Hwang	Director of the Board	2025.07.10	2025.07.10	International Trends in Sustainable Governance and Green Risk Response Strategies (including Sustainability Information)	Taiwan Securities Association	3
		2025.09.18	2025.09.18	<i>Financial Consumer Protection Act</i> , Fair Treatment of Customers and Financial Accessibility,	Taiwan Securities Association	3

Yuanta FHC's Tenth Board of Directors Continuing Education

				and the Convention on the Rights of Persons with Disabilities (CRPD)		
		2025.10.16	2025.10.16	Security Challenges and Future Trends of Artificial Intelligence under the Risks of Digital Technology	Taiwan Securities Association	3
		2025.10.17	2025.10.17	ESG Fundamentals: Decoding Taiwan's Sustainable Transformation Blueprint	Taipei Foundation of Finance	3
		2026.03.19	2026.03.19	Information Security Strategy and Practice	Taiwan Securities Association	3
Victor Wei-Chien Ma	Director of the Board	2025.08.07	2025.08.07	Sharing on the Trends of Preventing Money Laundering and Combating Terrorist Financing and the Practical Methods of Preventing Insider Trading (including Anti-Corruption and Anti-Weapons Proliferation)	Institute of Financial Law and Crime Prevention	3
		2025.09.18	2025.09.18	<i>Financial Consumer Protection Act</i> , Fair Treatment of Customers and Financial Accessibility, and the Convention on the Rights of Persons with Disabilities (CRPD)	Taiwan Securities Association	3
		2025.10.16	2025.10.16	Security Challenges and Future Trends of Artificial Intelligence under the Risks of Digital Technology	Taiwan Securities Association	3
		2026.03.19	2026.03.19	Information Security Strategy and Practice	Taiwan Securities Association	3
Michael Wei-Chen Ma	Director of the Board	2025.07.10	2025.07.10	International Trends in Sustainable Governance and Green Risk Response Strategies (including Sustainability Information)	Taiwan Securities Association	3
		2025.09.18	2025.09.18	<i>Financial Consumer Protection Act</i> , Fair Treatment of Customers and Financial Accessibility, and the Convention on the Rights of Persons with Disabilities (CRPD)	Taiwan Securities Association	3
		2025.10.16	2025.10.16	Security Challenges and Future Trends of Artificial	Taiwan Securities Association	3

Yuanta FHC's Tenth Board of Directors Continuing Education

				Intelligence under the Risks of Digital Technology		
		2025.11.05	2025.11.05	Preventing Money Laundering and Combating Terrorist Financing On-the-Job Training	Institute of Financial Law and Crime Prevention	3
		2026.03.19	2026.03.19	Information Security Strategy and Practice	Taiwan Securities Association	3
Chung-Yuan Chen	Director of the Board	2025.07.10	2025.07.10	International Trends in Sustainable Governance and Green Risk Response Strategies (including Sustainability Information)	Taiwan Securities Association	3
		2025.07.18	2025.07.18	Prevention of Insider Trading and Latest Practical Developments	Institute of Financial Law and Crime Prevention	2
		2025.08.07	2025.08.07	Sharing on the Trends of Preventing Money Laundering and Combating Terrorist Financing and the Practical Methods of Preventing Insider Trading (including Anti-Corruption and Anti-Weapons Proliferation)	Institute of Financial Law and Crime Prevention	3
		2025.09.18	2025.09.18	<i>Financial Consumer Protection Act</i> , Fair Treatment of Customers and Financial Accessibility, and the Convention on the Rights of Persons with Disabilities (CRPD)	Taiwan Securities Association	3
		2025.10.16	2025.10.16	Security Challenges and Future Trends of Artificial Intelligence under the Risks of Digital Technology	Taiwan Securities Association	3
		2025.11.04	2025.11.04	Comprehensive Fraud Prevention Strategies	Institute of Financial Law and Crime Prevention	2
		2026.03.19	2026.03.19	Information Security Strategy and Practice	Taiwan Securities Association	3
		Sharon Sheau-Wen Yang	Independent Director of the Board	2025.05.14	2025.05.14	Corporate Governance - Fair Treatment of Customers Principle and Financial Accessibility Guidelines
2025.06.06	2025.06.06			Internal Audit Symposium for Financial Holding Companies	Financial Examination Bureau, Financial Supervisory	3

Yuanta FHC's Tenth Board of Directors Continuing Education

				Commission R.O.C. (Taiwan)		
		2025.07.10	2025.07.10	International Trends in Sustainable Governance and Green Risk Response Strategies (including Sustainability Information)	Taiwan Securities Association	3
		2025.08.07	2025.08.07	Sharing on the Trends of Preventing Money Laundering and Combating Terrorist Financing and the Practical Methods of Preventing Insider Trading (including Anti-Corruption and Anti-Weapons Proliferation)	Institute of Financial Law and Crime Prevention	3
		2025.09.18	2025.09.18	<i>Financial Consumer Protection Act</i> , Fair Treatment of Customers and Financial Accessibility, and the Convention on the Rights of Persons with Disabilities (CRPD)	Taiwan Securities Association	3
		2025.10.16	2025.10.16	Security Challenges and Future Trends of Artificial Intelligence under the Risks of Digital Technology	Taiwan Securities Association	3
Rosemary Yung-Hsin Wang	Independent Director of the Board	2025.07.10	2025.07.10	International Trends in Sustainable Governance and Green Risk Response Strategies (including Sustainability Information)	Taiwan Securities Association	3
		2025.08.07	2025.08.07	Sharing on the Trends of Preventing Money Laundering and Combating Terrorist Financing and the Practical Methods of Preventing Insider Trading (including Anti-Corruption and Anti-Weapons Proliferation)	Institute of Financial Law and Crime Prevention	3
		2025.09.18	2025.09.18	<i>Financial Consumer Protection Act</i> , Fair Treatment of Customers and Financial Accessibility, and the Convention on the Rights of Persons with Disabilities (CRPD)	Taiwan Securities Association	3

Yuanta FHC's Tenth Board of Directors Continuing Education

		2025.10.16	2025.10.16	Security Challenges and Future Trends of Artificial Intelligence under the Risks of Digital Technology	Taiwan Securities Association	3
		2025.11.25	2025.11.25	Internal Audit Symposium for Financial Holding Companies and Domestic Banks	Financial Examination Bureau, Financial Supervisory Commission R.O.C. (Taiwan)	3.5
		2026.03.19	2026.03.19	Information Security Strategy and Practice	Taiwan Securities Association	3
Mang-Chih Lee	Independent Director of the Board	2025.07.10	2025.07.10	International Trends in Sustainable Governance and Green Risk Response Strategies (including Sustainability Information)	Taiwan Securities Association	3
		2025.08.07	2025.08.07	Sharing on the Trends of Preventing Money Laundering and Combating Terrorist Financing and the Practical Methods of Preventing Insider Trading (including Anti-Corruption and Anti-Weapons Proliferation)	Institute of Financial Law and Crime Prevention	3
		2025.09.18	2025.09.18	<i>Financial Consumer Protection Act</i> , Fair Treatment of Customers and Financial Accessibility, and the Convention on the Rights of Persons with Disabilities (CRPD)	Taiwan Securities Association	3
		2025.10.16	2025.10.16	Security Challenges and Future Trends of Artificial Intelligence under the Risks of Digital Technology	Taiwan Securities Association	3
		2025.11.25	2025.11.25	Internal Audit Symposium for Financial Holding Companies and Domestic Banks	Financial Examination Bureau, Financial Supervisory Commission R.O.C. (Taiwan)	3.5
		2026.03.19	2026.03.19	Information Security Strategy and Practice	Taiwan Securities Association	3
		Tzong-Chen Wu	Independent Director of the Board	2025.07.10	2025.07.10	International Trends in Sustainable Governance and Green Risk Response Strategies (including Sustainability Information)

Yuanta FHC's Tenth Board of Directors Continuing Education

	2025.08.07	2025.08.07	Sharing on the Trends of Preventing Money Laundering and Combating Terrorist Financing and the Practical Methods of Preventing Insider Trading (including Anti-Corruption and Anti-Weapons Proliferation)	Institute of Financial Law and Crime Prevention	3
	2025.09.18	2025.09.18	<i>Financial Consumer Protection Act</i> , Fair Treatment of Customers and Financial Accessibility, and the Convention on the Rights of Persons with Disabilities (CRPD)	Taiwan Securities Association	3
	2025.10.16	2025.10.16	Security Challenges and Future Trends of Artificial Intelligence under the Risks of Digital Technology	Taiwan Securities Association	3
	2026.03.19	2026.03.19	Information Security Strategy and Practice (as a lecturer)	Taiwan Securities Association	1