

Professional Qualifications and Independence of the Ninth Board of Directors of Yuanta Financial Holding Company

Qualification Name	Professional Qualifications and Experience	Compliance of Independence (Note 2)	Number of positions as an Independent Director in other public listed companies (Note 1)
Chairman Ting Chien Shen (Tony)	<p>Chairman Tony Shen used to be the Chairman of Yuanta Polaris Securities (2009/4~2014/6), and also the Company's President (2009/9~2013/5, 2014/7~2019/6), Vice Chairman (2013/11~2014/7) and Chairman (2013/6~2013/11, 2019/6~present), who satisfies the professional qualifications referred to in Paragraph 1 Subparagraph 1, Paragraph 3, Paragraph 5, and Paragraph 9 of Article 9 of the <i>Regulations Governing Qualification Requirements for the Founder or Responsible Persons of Financial Holding Companies and Concurrent Serving Restrictions and Matters for Compliance by the Responsible Persons of a Financial Holding Company</i> (hereinafter referred to as the <i>Regulations Governing Qualification Requirements for Responsible Persons of Financial Holding Companies</i>). Meanwhile, Chairman Tony Shen also held the position as the Vice Chairman (2007/4~2007/10) and Director of the Board (2001/10~2014/7) of Yuanta Securities Asia Financial Services, and Chairman (2007/6~2009/7) of Yuanta Securities (HK). He has been engaged in the securities-related work for nearly five decades. He has expertise in business management and corporate governance of financial holding companies and securities-related industries and is not subject to the provisions of Article 30 of the <i>Companies Act</i>.</p>	<ol style="list-style-type: none"> 1. Not an employee of the company or an affiliate. 2. The director of the Company or any of its affiliated companies. 3. Not a director, or his or her spouse or minor child, does not hold, in his or her own name or another name, more than 1% of the Company's total outstanding shares, nor is one of the Company's ten largest natural-person shareholders. 4. Not a spouse, relative within 2nd degree of kinship, or lineal relative by blood within 3rd degree of kinship or closer to the managers described in Item 1. or persons described in the Item 2. and Item 3. 5. Not a director, supervisor, or employee of any institutional shareholder that has 5% or higher of the total shares issued by the Company, or of top-5 institutional shareholders of the Company, or the institutional shareholders who appoint their representatives to serve as the Director or Supervisor of the Company in accordance with Paragraph 1 or Paragraph 2 of Article 27 of the <i>Company Act</i>. 6. Not a director, supervisor or employee of any company controlled by the same person that holds a majority of the director seats or voting shares of the Company. 7. Not a director, supervisor or employee of any company who is the same person as, or the spouse of, Chairman and President or equivalent of the Company. 8. Not a director, supervisor, manager, or shareholder with more than 5% ownership interest in any companies or institutions that have a financial or business relationship with the Company. 9. Not a professional individual who, or an owner, partner, director, supervisor, or officer of a sole proprietorship, partnership, Company, or institution that provides auditing services to the Company or any affiliated company of the Company, or that provides commercial, legal, financial, accounting or related services to the Company or any affiliated company of the Company for which the provider in the past two years has received cumulative compensation not exceeding NT\$500,000, or a spouse thereof. 10. Is not the spouse or relative within the second degree of kinship of another director. 11. Is not a person of the conditions specified in any of the subparagraphs of Article 30 of the <i>Company Act</i>. 12. Has not been elected as a government unit, institution, or their representative as prescribed in Article 27 of the <i>Company Act</i>. 	0

<p>Director Wei Chen Ma (Michael)</p>	<p>Director Wei Chen Ma (Michael) used to be the director of Yuanta Core Pacific Securities (2000/8~2005/6), Vice Chairman of Yuanta Bank (2005/7~2008/3), Executive Vice President of Yuanta FHC (2007/9~2009/5) and Vice Chairman of Yuanta Life (2016/2~2018/1), and is experienced in the financial business and management, and therefore, satisfies the professional qualifications referred to in the Subparagraph 3, Paragraph 1 of Article 9 and Paragraph 3 of the <i>Regulations Governing Qualification Requirements for Responsible Persons of Financial Holding Companies</i>. He currently serves as a director of the Taiwan Stock Exchange and has expertise in the management and corporate governance of financial holding companies and financial related industries, and is not subject to any of the provisions of Article 30 of the <i>Company Act</i>.</p>	<ol style="list-style-type: none"> 1. Not an employee of the company or an affiliate. 2. The director of the Company or any of its affiliated companies. 3. Not a director, or his or her spouse or minor child, does not hold, in his or her own name or another name, more than 1% of the Company's total outstanding shares, nor is one of the Company's ten largest natural-person shareholders. 4. The spouse, relative within 2nd degree of kinship, or lineal relative by blood within 3rd degree of kinship or closer to the managers described in Item 1. or persons described in the Item 2. and Item 3. 5. The director, supervisor, or employee of any institutional shareholder that has 5% or higher of the total shares issued by the Company, or of top-5 institutional shareholders of the Company, or the institutional shareholders who appoint their representatives to serve as the Director or Supervisor of the Company in accordance with Paragraph 1 or Paragraph 2 of Article 27 of the <i>Company Act</i>. 6. Not a director, supervisor or employee of any company controlled by the same person that holds a majority of the director seats or voting shares of the Company. 7. Not a director, supervisor or employee of any company who is the same person as, or the spouse of, Chairman and President or equivalent of the Company. 8. Not a director, supervisor, manager, or shareholder with more than 5% ownership interest in any companies or institutions that have a financial or business relationship with the Company. 9. Not a professional individual who, or an owner, partner, director, supervisor, or officer of a sole proprietorship, partnership, Company, or institution that provides auditing services to the Company or any affiliated company of the Company, or that provides commercial, legal, financial, accounting or related services to the Company or any affiliated company of the Company for which the provider in the past two years has received cumulative compensation not exceeding NT\$500,000, or a spouse thereof. 10. Is not the spouse or relative within the second degree of kinship of another director. 11. Is not a person of the conditions specified in any of the subparagraphs of Article 30 of the <i>Company Act</i>. 12. Has not been elected as a government unit, institution, or their representative as prescribed in Article 27 of the <i>Company Act</i>. 	<p>0</p>
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<p>Director Daniel Y. M. Song</p>	<p>Director Daniel Y. M. Song, currently the Chairman of Yuanta Asset Management (2019/2~present), used to be a partner of Lee and Li Attorneys-at-Law (1997/1~2018/12), Prosecutor of Taiwan Taichung District Prosecutors Office (1988/12~1995/12), and the negotiator for the important economic, trade and legal affairs including WTO Trade in Services, counseling services, Taiwan-US intellectual property rights negotiation and Taiwan-US mutual legal assistance in criminal matters. He is qualified for practicing law in the USA and Taiwan, with plentiful experience in dealing with cases involving international affairs. His legal expertise covers administrative law, <i>Fair Trade Act</i>, <i>Mass Communication Law</i>, commercial cases, criminal actions, intellectual property right litigation and international trade disputes, etc., and particularly the M&A and transnational commercial cases. He is not subject to any of the provisions of Article 30 of the <i>Company Act</i>.</p>	<ol style="list-style-type: none"> 1. Not an employee of the company or an affiliate. 2. The director of the Company or any of its affiliated companies. 3. Not a director, or his or her spouse or minor child, does not hold, in his or her own name or another name, more than 1% of the Company's total outstanding shares, nor is one of the Company's ten largest natural-person shareholders. 4. Not a spouse, relative within 2nd degree of kinship, or lineal relative by blood within 3rd degree of kinship or closer to the managers described in Item 1. or persons described in the Item 2. and Item 3. 5. Not a director, supervisor, or employee of any institutional shareholder that has 5% or higher of the total shares issued by the Company, or of top-5 institutional shareholders of the Company, or the institutional shareholders who appoint their representatives to serve as the Director or Supervisor of the Company in accordance with Paragraph 1 or Paragraph 2 of Article 27 of the <i>Company Act</i>. 6. Not a director, supervisor or employee of any company controlled by the same person that holds a majority of the director seats or voting shares of the Company. 7. Not a director, supervisor or employee of any company who is the same person as, or the spouse of, Chairman and President or equivalent of the Company. 8. Not a director, supervisor, manager, or shareholder with more than 5% ownership interest in any companies or institutions that have a financial or business relationship with the Company. 9. Not a professional individual who, or an owner, partner, director, supervisor, or officer of a sole proprietorship, partnership, Company, or institution that provides auditing services to the Company or any affiliated company of the Company, or that provides commercial, legal, financial, accounting or related services to the Company or any affiliated company of the Company for which the provider in the past two years has received cumulative compensation not exceeding NT\$500,000, or a spouse thereof. 10. Is not the spouse or relative within the second degree of kinship of another director. 11. Is not a person of the conditions specified in any of the subparagraphs of Article 30 of the <i>Company Act</i>. 12. To be elected as an institution or their representative as prescribed in Article 27 of the <i>Company Act</i>. 	<p>0</p>
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<p>Director Chung Yuan Chen</p>	<p>Director Chung Yuan Chen used to be the Executive Yuan Political Adviser (2000~2008), Managing Director of Taiwan Power Company (2005~2008), Chairman of Taipei City Fire Buff Association (2003~2008), and Supervisor of Chang Jia M&E Engineering Corp., (2013~2016) and is very experienced in the industry, has extensive popularity and good reputation in the industry, and holds in-depth insights into corporate management, industrial development trends and related risk controls. He is currently serving as a director of Yuanta FHC (2016/5~present) and a director of Yuanta Bank (2016/5~present). During his tenure, he has fully utilized his expertise in company operation and industry experience to provide various professional advice, and has expertise in the management and corporate governance of the financial holding company and the banking industry, and has not been subject to any of the circumstances set out in Article 30 of the <i>Companies Act</i>.</p>	<ol style="list-style-type: none"> 1. Not an employee of the company or an affiliate. 2. The director of the Company or any of its affiliated companies. 3. Not a director, or his or her spouse or minor child, does not hold, in his or her own name or another name, more than 1% of the Company's total outstanding shares, nor is one of the Company's ten largest natural-person shareholders. 4. Not a spouse, relative within 2nd degree of kinship, or lineal relative by blood within 3rd degree of kinship or closer to the managers described in Item 1. or persons described in the Item 2. and Item 3. 5. Not a director, supervisor, or employee of any institutional shareholder that has 5% or higher of the total shares issued by the Company, or of top-5 institutional shareholders of the Company, or the institutional shareholders who appoint their representatives to serve as the Director or Supervisor of the Company in accordance with Paragraph 1 or Paragraph 2 of Article 27 of the <i>Company Act</i>. 6. Not a director, supervisor or employee of any company controlled by the same person that holds a majority of the director seats or voting shares of the Company. 7. Not a director, supervisor or employee of any company who is the same person as, or the spouse of, Chairman and President or equivalent of the Company. 8. Not a director, supervisor, manager, or shareholder with more than 5% ownership interest in any companies or institutions that have a financial or business relationship with the Company. 9. Not a professional individual who, or an owner, partner, director, supervisor, or officer of a sole proprietorship, partnership, Company, or institution that provides auditing services to the Company or any affiliated company of the Company, or that provides commercial, legal, financial, accounting or related services to the Company or any affiliated company of the Company for which the provider in the past two years has received cumulative compensation not exceeding NT\$500,000, or a spouse thereof. 10. Is not the spouse or relative within the second degree of kinship of another director. 11. Is not a person of the conditions specified in any of the subparagraphs of Article 30 of the <i>Company Act</i>. 12. To be elected as an institution or their representative as prescribed in Article 27 of the <i>Company Act</i>. 	<p>0</p>
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<p>Director Chien Weng</p>	<p>Director Chien Weng is the current chief executive officer of Yuanta FHC (2019/7~present), director of Yuanta Bank (2019/6~present), and was the chairman of Yuanta Bank (2020/10~2023/8), auditor-general of Yuanta FHC (2012/8~2016/5), auditor-general of Yuanta Bank (2012/10~2016/5), executive vice president of Yuanta Polaris Securities, president, vice president and administrative director of Polaris Securities (2007/12~2010/1), vice president and chief secretary to the board of directors of Overseas Chinese Banking Corporation Ltd. (OCBC) (2005/3~2007/11), which meet the professional qualifications of Article 9(1)(1) and (9) of the <i>Regulations Governing Qualification Requirements for the Founder or Responsible Persons of Financial Holding Companies</i>. Director Chien Weng was the chairman of Yuanta Venture Capital (2016/6~2019/3) and a director of Yuanta Life (2018/2~2020/10). He has extensive experience in the financial industry and has expertise in management and corporate governance of financial holding companies, banking and securities-related industries, and is not subject to the provisions of Article 30 of the <i>Company Act</i>.</p>	<ol style="list-style-type: none"> 1. An employee of the company or an affiliate. 2. The director or supervisor of the Company or any of its affiliated companies. 3. Not a director, or his or her spouse or minor child, does not hold, in his or her own name or another name, more than 1% of the Company's total outstanding shares, nor is one of the Company's ten largest natural-person shareholders. 4. Not a spouse, relative within 2nd degree of kinship, or lineal relative by blood within 3rd degree of kinship or closer to the managers described in Item 1. or persons described in the Item 2. and Item 3. 5. Not a director, supervisor, or employee of any institutional shareholder that has 5% or higher of the total shares issued by the Company, or of top-5 institutional shareholders of the Company, or the institutional shareholders who appoint their representatives to serve as the Director or Supervisor of the Company in accordance with Paragraph 1 or Paragraph 2 of Article 27 of the <i>Company Act</i>. 6. Not a director, supervisor or employee of any company controlled by the same person that holds a majority of the director seats or voting shares of the Company. 7. Not a director, supervisor or employee of any company who is the same person as, or the spouse of, Chairman and President or equivalent of the Company. 8. Not a director, supervisor, manager, or shareholder with more than 5% ownership interest in any companies or institutions that have a financial or business relationship with the Company. 9. Not a professional individual who, or an owner, partner, director, supervisor, or officer of a sole proprietorship, partnership, Company, or institution that provides auditing services to the Company or any affiliated company of the Company, or that provides commercial, legal, financial, accounting or related services to the Company or any affiliated company of the Company for which the provider in the past two years has received cumulative compensation not exceeding NT\$500,000, or a spouse thereof. 10. Is not the spouse or relative within the second degree of kinship of another director. 11. Is not a person of the conditions specified in any of the subparagraphs of Article 30 of the <i>Company Act</i>. 12. To be elected as an institution or their representative as prescribed in Article 27 of the <i>Company Act</i>. 	<p>1</p>
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<p>Independent Director Ming Ling Hsueh</p>	<p>Independent Director Ming Ling Hsueh has practiced as a CPA for more than three decades, and used to be the President of PwC Taiwan (2006/7~2013/6). Through practicing in the CPA business, he has experience in auditing financial statements for financial holding companies of 9 years. Therefore, he should be held as satisfying the professional qualifications referred to in Paragraph 1 Subparagraph 3, Paragraph 3, and Paragraph 6 of Article 9 of the <i>Regulations Governing Qualification Requirements for Responsible Persons of Financial Holding Companies</i>. Meanwhile, he serves as the Standing Director of Taiwan Corporate Governance Association (2010/3~present) and also as the keynote speaker of the Corporate Governance Forum and trainer of professional courses; he holds expertise in management and corporate governance of financial holding companies, accounting and finance. He is not subject to any of the provisions of Article 30 of the <i>Company Act</i>.</p>	<ol style="list-style-type: none"> 1. Not an employee of the company or an affiliate. 2. Not a director of the Company or any of its affiliated companies. 3. Not a director, or his or her spouse or minor child, does not hold, in his or her own name or another name, more than 1% of the Company's total outstanding shares, nor is one of the Company's ten largest natural-person shareholders. 4. Not a spouse, relative within 2nd degree of kinship, or lineal relative by blood within 3rd degree of kinship or closer to the managers described in Item 1. or persons described in the Item 2. and Item 3. 5. Not a director, supervisor, or employee of any institutional shareholder that has 5% or higher of the total shares issued by the Company, or of top-5 institutional shareholders of the Company, or the institutional shareholders who appoint their representatives to serve as the Director or Supervisor of the Company in accordance with Paragraph 1 or Paragraph 2 of Article 27 of the <i>Company Act</i>. 6. Not a director, supervisor or employee of any company controlled by the same person that holds a majority of the director seats or voting shares of the Company. 7. Not a director, supervisor or employee of any company who is the same person as, or the spouse of, Chairman and President or equivalent of the Company (this restriction does not apply to independent director positions in the Company, its parent company or subsidiary, or any subsidiary of the same parent company which has been appointed concurrently in accordance with <i>Securities and Exchange Act</i>, or laws of the registered country). 8. Not a director, supervisor, manager, or shareholder with more than 5% ownership interest in any companies or institutions that have a financial or business relationship with the Company (this restriction does not apply to any specific company or organization which holds more than 20% but less than 50% of the total shares issued by the Company that is an independent director in the Company, its parent company or subsidiary, or any subsidiary of the same parent company who has been appointed in accordance with <i>Securities and Exchange Act</i>, or laws of the registered country). 9. Not a professional individual who, or an owner, partner, director, supervisor, or officer of a sole proprietorship, partnership, Company, or institution that provides auditing services to the Company or any affiliated company of the Company, or that provides commercial, legal, financial, accounting or related services to the Company or any affiliated company of the Company for which the provider in the past two years has received cumulative compensation not exceeding NT\$500,000, or a spouse thereof. 10. Is not the spouse or relative within the second degree of kinship of another director. 11. Is not a person of the conditions specified in any of the subparagraphs of Article 30 of the <i>Company Act</i>. 12. Has not been elected as a government unit, institution, or their representative as prescribed in Article 27 of the <i>Company Act</i>. 	<p>3</p>
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<p>Independent Director Kuang Si Shiu</p>	<p>Independent Director Kuang Si Shiu was the Vice President of the General Administration of Bank of Communications Co., Ltd. (2001/8~2006/8) and was the President of Mega International Commercial Bank (2006/8-2014/8). He has held the position as Vice President or above for 13 years in total, thus satisfying professional qualifications referred to in Paragraph 1 Subparagraph 1, Paragraph 3, and Paragraph 9 of Article 9 of the <i>Regulations Governing Qualification Requirements for Responsible Persons of Financial Holding Companies</i>. He used to be the Chairman (2008/7~2014/8) and President of Mega Financial Holdings (2015/9~2016/8), Chairman of Hua Nan Financial Holdings, Chairman of Mega Bank, Chairman of Hua Nan Bank (2015/9~2016/8) and Chairman of Land Bank of Taiwan (2014/8~2015/9), and is thus well experienced in the banking business. He holds the expertise in management and corporate governance of a financial holding company and banking business. He is not subject to any of the provisions of Article 30 of the <i>Company Act</i>.</p>	<ol style="list-style-type: none"> 1. Not an employee of the company or an affiliate. 2. Not a director of the Company or any of its affiliated companies. 3. Not a director, or his or her spouse or minor child, does not hold, in his or her own name or another name, more than 1% of the Company's total outstanding shares, nor is one of the Company's ten largest natural-person shareholders. 4. Not a spouse, relative within 2nd degree of kinship, or lineal relative by blood within 3rd degree of kinship or closer to the managers described in Item 1. or persons described in the Item 2. and Item 3. 5. Not a director, supervisor, or employee of any institutional shareholder that has 5% or higher of the total shares issued by the Company, or of top-5 institutional shareholders of the Company, or the institutional shareholders who appoint their representatives to serve as the Director or Supervisor of the Company in accordance with Paragraph 1 or Paragraph 2 of Article 27 of the <i>Company Act</i>. 6. Not a director, supervisor or employee of any company controlled by the same person that holds a majority of the director seats or voting shares of the Company. 7. Not a director, supervisor or employee of any company who is the same person as, or the spouse of, Chairman and President or equivalent of the Company (this restriction does not apply to independent director positions in the Company, its parent company or subsidiary, or any subsidiary of the same parent company which has been appointed concurrently in accordance with <i>Securities and Exchange Act</i>, or laws of the registered country). 8. Not a director, supervisor, manager, or shareholder with more than 5% ownership interest in any companies or institutions that have a financial or business relationship with the Company (this restriction does not apply to any specific company or organization which holds more than 20% but less than 50% of the total shares issued by the Company that is an independent director in the Company, its parent company or subsidiary, or any subsidiary of the same parent company who has been appointed in accordance with <i>Securities and Exchange Act</i>, or laws of the registered country). 9. Not a professional individual who, or an owner, partner, director, supervisor, or officer of a sole proprietorship, partnership, Company, or institution that provides auditing services to the Company or any affiliated company of the Company, or that provides commercial, legal, financial, accounting or related services to the Company or any affiliated company of the Company for which the provider in the past two years has received cumulative compensation not exceeding NT\$500,000, or a spouse thereof. 10. Is not the spouse or relative within the second degree of kinship of another director. 11. Is not a person of the conditions specified in any of the subparagraphs of Article 30 of the <i>Company Act</i>. 12. Has not been elected as a government unit, institution, or their representative as prescribed in Article 27 of the <i>Company Act</i>. 	<p>1</p>
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Independent Director Hsing Yi Chow	<p>Independent Director Hsing Yi Chow is the Emeritus Professor of NCCU Department of Finance. He used to be President of National Chengchi University (NCCU) (2014/11~2018/11), Dean of NCCU College of Commerce (2005/8~2008/7), Director of Investor Research Center, Chair of NCCU Department (Graduate Institute) of Finance (2000/8~2002/7), and Vice President of Asian Finance Association (1996/8~1997/7); he holds the expertise in investment, risk management, international finance, entrepreneurial financial management and corporate governance and engages in in- depth research in the securities houses and capital market development in Taiwan. He used to be the Supervisor of TPEX (2006/8~2018/7), Director of International Cooperation and Development Fund (2009/8~2014/7), Member of Financial Restructuring Fund Commission (2010/1~2012/12), and Supervisor of Securities and Futures Investors Protection Center (2003/2~2008/7), this well experienced in the securities and financial businesses. He holds the expertise in management and corporate governance of a financial holding company and securities houses and also finance. He is not subject to any of the provisions of Article 30 of the <i>Company Act</i>.</p>	<ol style="list-style-type: none"> 1. Not an employee of the company or an affiliate. 2. Not a director or supervisor of the Company or any of its affiliated companies. 3. Not a director, or his or her spouse or minor child, does not hold, in his or her own name or another name, more than 1% of the Company's total outstanding shares, nor is one of the Company's ten largest natural-person shareholders. 4. Not a spouse, relative within 2nd degree of kinship, or lineal relative by blood within 3rd degree of kinship or closer to the managers described in Item 1. or persons described in the Item 2. and Item 3. 5. Not a director, supervisor, or employee of any institutional shareholder that has 5% or higher of the total shares issued by the Company, or of top-5 institutional shareholders of the Company, or the institutional shareholders who appoint their representatives to serve as the Director or Supervisor of the Company in accordance with Paragraph 1 or Paragraph 2 of Article 27 of the <i>Company Act</i>. 6. Not a director, supervisor or employee of any company controlled by the same person that holds a majority of the director seats or voting shares of the Company. 7. Not a director, supervisor or employee of any company who is the same person as, or the spouse of, Chairman and President or equivalent of the Company (this restriction does not apply to independent director positions in the Company, its parent company or subsidiary, or any subsidiary of the same parent company which has been appointed concurrently in accordance with <i>Securities and Exchange Act</i>, or laws of the registered country). 8. Not a director, supervisor, manager, or shareholder with more than 5% ownership interest in any companies or institutions that have a financial or business relationship with the Company (this restriction does not apply to any specific company or organization which holds more than 20% but less than 50% of the total shares issued by the Company that is an independent director in the Company, its parent company or subsidiary, or any subsidiary of the same parent company who has been appointed in accordance with <i>Securities and Exchange Act</i>, or laws of the registered country). 9. Not a professional individual who, or an owner, partner, director, supervisor, or officer of a sole proprietorship, partnership, Company, or institution that provides auditing services to the Company or any affiliated company of the Company, or that provides commercial, legal, financial, accounting or related services to the Company or any affiliated company of the Company for which the provider in the past two years has received cumulative compensation not exceeding NT\$500,000, or a spouse thereof. 10. Is not the spouse or relative within the second degree of kinship of another director. 11. Is not a person of the conditions specified in any of the subparagraphs of Article 30 of the <i>Company Act</i>. 12. Has not been elected as a government unit, institution, or their representative as prescribed in Article 27 of the <i>Company Act</i>. 	2
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Independent Director Sheau Wen Yang	<p>Independent Director Sheau Wen Yang is an independent director of Yuanta Life (2019/6~present) and serves as the executive director of the Financial Research Center, College of Commerce, National Chengchi University, Taiwan (2020/1~present), trading committee member of Taiwan Futures Exchange (2020/1~2022/12), vice chair of the council of Taiwan Pension Fund Association (2023/6~present), and member of the National Annuity Insurance Actuarial Review Panel, Taiwan (2017/10~present). She was also an investment-linked insurance review committee member of the Insurance Bureau of the Financial Supervisory Commission, R.O.C. (Taiwan) (2005/7~2016/4), director of the Taiwan Insurance Guaranty Fund (2011/5~2015/4), takeover committee member of the Taiwan Insurance Guaranty Fund (2011/5~2015/4), disciplinary committee member (2019/5~2020/12) and settlement committee convener (2020/1~2022/12) of Taiwan Futures Exchange, and council member of the Asia Pacific Risk Management and Insurance Association (2009/8~2011/7), and meets the professional qualifications referred to in Paragraph 1 Subparagraph 3 and Paragraph 9 of Article 9 of the Regulations Governing Qualification Requirements for the Founder or Responsible Persons of Financial Holding Companies and Concurrent Serving Restrictions and Matters for Compliance by the Responsible Persons of a Financial Holding Company. Independent Director Yang has management and sustainable financial and actuarial expertise in the financial holding company, life insurance and financial related industries, and does not have any of the provisions of Article 30 of the <i>Companies Act</i>.</p>	<ol style="list-style-type: none"> 1. Not an employee of the company or an affiliate. 2. Not a director or supervisor of the Company or any of its affiliated companies. 3. Not a director, or his or her spouse or minor child, does not hold, in his or her own name or another name, more than 1% of the Company's total outstanding shares, nor is one of the Company's ten largest natural-person shareholders. 4. Not a spouse, relative within 2nd degree of kinship, or lineal relative by blood within 3rd degree of kinship or closer to the managers described in Item 1. or persons described in the Item 2. and Item 3. 5. Not a director, supervisor, or employee of any institutional shareholder that has 5% or higher of the total shares issued by the Company, or of top-5 institutional shareholders of the Company, or the institutional shareholders who appoint their representatives to serve as the Director or Supervisor of the Company in accordance with Paragraph 1 or Paragraph 2 of Article 27 of the <i>Company Act</i>. 6. Not a director, supervisor or employee of any company controlled by the same person that holds a majority of the director seats or voting shares of the Company. 7. Not a director, supervisor or employee of any company who is the same person as, or the spouse of, Chairman and President or equivalent of the Company (this restriction does not apply to independent director positions in the Company, its parent company or subsidiary, or any subsidiary of the same parent company which has been appointed concurrently in accordance with <i>Securities and Exchange Act</i>, or laws of the registered country). 8. Not a director, supervisor, manager, or shareholder with more than 5% ownership interest in any companies or institutions that have a financial or business relationship with the Company (this restriction does not apply to any specific company or organization which holds more than 20% but less than 50% of the total shares issued by the Company that is an independent director in the Company, its parent company or subsidiary, or any subsidiary of the same parent company who has been appointed in accordance with <i>Securities and Exchange Act</i>, or laws of the registered country). 9. Not a professional individual who, or an owner, partner, director, supervisor, or officer of a sole proprietorship, partnership, Company, or institution that provides auditing services to the Company or any affiliated company of the Company, or that provides commercial, legal, financial, accounting or related services to the Company or any affiliated company of the Company for which the provider in the past two years has received cumulative compensation not exceeding NT\$500,000, or a spouse thereof. 10. Is not the spouse or relative within the second degree of kinship of another director. 11. Is not a person of the conditions specified in any of the sub paragraphs of Article 30 of the <i>Company Act</i>. 12. Has not been elected as a government unit, institution, or their representative as prescribed in Article 27 of the <i>Company Act</i>. 	1
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Note 1: The Company's Independent Directors include, Mr. Ming Ling Hsueh who is concurrently acting as the independent director of Yuanta Bank, Mr. Kuang Si Shiu concurrently acting as the independent director of Yuanta Bank, Mr. Hsing Yi Chow concurrently acting as the independent director of Yuanta Securities, and Ms. Sheau Wen Yang concurrently acting as the independent director of Yuanta Life (non-public offering). According to Paragraph 2, Article 4 of the "Regulations Governing Appointment of Independent Directors and Compliance Matters for Public Companies" amended and promulgated by Financial Supervisory Commission, Executive Yuan on January 15, 2020, where any independent director of a financial holding company concurrently acts as an independent director of more than one subsidiary wholly owned by the company, the number of additional subsidiaries in which he concurrently acts as an independent director shall be counted as "other unrelated" public companies.

Note 2: For the following items, this restriction does not apply to independent director positions in the Company, its parent company or subsidiary, or any subsidiary of the same parent company which has been appointed concurrently in accordance with Securities and Exchange Act or laws of the registered country:

1. Not a director or supervisor of the Company or any of its affiliated companies.
2. Not a Director, Supervisor, or employee of any institutional shareholder that has 5% or higher of the total shares issued by the Company, or of top-5 institutional shareholders of the Company, or the institutional shareholders who appoint their representatives to serve as the Director or Supervisor of the Company in accordance with Paragraph 1 or Paragraph 2 of Article 27 of the *Company Act*.
3. Not a director, supervisor or employee of any company controlled by the same person that holds a majority of the director seats or voting shares of the Company.
4. Not a director, supervisor or employee of any company who is the same person as, or the spouse of, Chairman and President or equivalent of the Company.
5. Not a director, supervisor, manager, or shareholder with more than 5% ownership interest in any companies or institutions that have a financial or business relationship with the Company.