

## Professional Qualifications and Independence of the Tenth Board of Directors of Yuanta Financial Holding Company

<div>Qualification</div> <div>Name</div>	Professional Qualifications and Experience	Independent Director's Compliance of Independence (Note 2)	Number of Positions as an Independent Director in Other Publicly Traded Companies (Note 1)
Director Chien Weng	<p>Mr. Chien Weng is currently the chief executive officer (CEO) of Yuanta Financial Holding Company (FHC) (2019/7~present) and a director of Yuanta Commercial Bank (Yuanta Bank) (2019/6~present), and was the chairman of Yuanta Bank (2020/10~2023/8), the auditor-general of Yuanta FHC (2012/8~2016/5), the auditor-general of Yuanta Bank (2012/10~2016/5), the executive vice president of Yuanta Polaris Securities, the president, vice president, and administrative director of Polaris Securities (2007/12~2010/1), and the vice president and chief secretary to the board of directors of Overseas Chinese Banking Corporation Ltd. (OCBC) (2005/3~2007/11). These positions meet the professional qualifications required under Article 9(1)(1), (9)(1), and (10) (as applied to (9)(1)) of the <i>Regulations Governing Qualification Requirements for the Founder or Responsible Persons of Financial Holding Companies and Concurrent Serving Restrictions and Matters for Compliance by the Responsible Persons of a Financial Holding Company</i> (hereinafter referred to as the <i>Regulations Governing Qualification Requirements for Responsible Persons of Financial Holding Companies</i>).</p> <p>Mr. Weng was the chairman of Yuanta Venture Capital (2016/6~2019/3) and a director of Yuanta Life (2018/2~2020/10). He has extensive experience in the financial industry and has expertise in operation management and corporate governance of financial holding companies, banking, and securities-related industries.</p>		1

<p>Director Bobby Wei-Cherng Hwang</p>	<p>Mr. Bobby Wei-Cherng Ma is currently the chief strategy officer (2024/7~present) and the chief international operations officer (2023/10~present) of Yuanta FHC, a director of Yuanta Securities (2019/3~present), and a director of Yuanta Securities (Hong Kong), a director of Yuanta Securities (Thailand), and a director of Yuanta Securities Asia Financial Services, and was previously the CEO and executive vice president of Yuanta Securities (2019/3~2022/3), the vice president (2008/8~2011/9), senior vice president, vice president, assistant vice president, and manager of the International Operations Department (2014/1~2014/6, 1995/8~2008/1). These positions meet the professional qualifications required under Article 9(1)(1) and (10) (as applied to (9)(1)) of the <i>Regulations Governing Qualification Requirements for Responsible Persons of Financial Holding Companies</i>. Mr. Hwang also served as the CEO of Yuanta Securities Korea (2014/7~2019/3) and the vice chairman of Yuanta Securities (2022/4~2024/6). With nearly 30 years of experience in securities-related work, Mr. Hwang is specialized in the operation management and corporate governance of financial holding companies and securities-related industries.</p>		<p>0</p>
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<p>Director Victor Wei-Chien Ma</p>	<p>Mr. Victor Wei-Chien Ma is currently a director of Yuanta Securities (2022/1~present), a director of Yuanta Life (2022/6~present), and was previously the executive vice president and vice president of Yuanta Core Pacific Securities (1996/12~2005/6), and the CEO of Yuanta FHC (2007/7~2009/5). These positions meet the professional qualifications required under Article 9(1)(1), (3), and (10) (as applied to (9)(1)) of the <i>Regulations Governing Qualification Requirements for Responsible Persons of Financial Holding Companies</i>. Mr. Ma also served as a director of Yuanta Bank, a director of Kim Eng Holdings Singapore (2005/6~2009/5), the chairman of Yuanta Securities Finance, and a director of Yuanta Asset Management. Mr. Ma has extensive experience in financial holding and securities related work, and possesses professional knowledge in the operation management and corporate governance of financial holding companies and securities-related industries.</p>		<p>0</p>
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<p>Director Michael Wei-Chen Ma</p>	<p>Director Michael Wei-Chen Ma used to be a director of Yuanta Core Pacific Securities (2000/8~2005/6), the vice chairman of Yuanta Bank (2005/7~2008/3), the chief executive vice president of Yuanta FHC (2007/9~2009/5), and the vice chairman of Yuanta Life (2016/2~2018/1). He is experienced in financial business and management, and therefore, satisfies the professional qualifications referred to in the Subparagraph 3, Paragraph 1 and Paragraph 3 of Article 9 of the <i>Regulations Governing Qualification Requirements for Responsible Persons of Financial Holding Companies</i>. Director Ma currently serves as a director of the Taiwan Stock Exchange, a director of Yuanta Bank, and a director of Yuanta Life, and has expertise in the operation management and corporate governance of financial holding companies and financial related industries.</p>		<p>0</p>
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<p>Director Chung-Yuan Chen</p>	<p>Director Chung-Yuan Chen used to be an Executive Yuan Political Adviser (2000~2008), the managing director of Taiwan Power Company (2005~2008), the chairman of Taipei City Friends of Firefighters Association (2003~2008), and Supervisor of ChangJia M&amp;E Engineering Corp., (2013~2016). He is very experienced in the industry, has extensive popularity and good reputation in the industry, and holds in-depth insights into corporate management, industrial development trends, and related risk controls. He is currently serving as a director of Yuanta FHC (2016/5~present) and a director of Yuanta Bank (2016/5~present). During his tenure, Director Chen has fully utilized his expertise in company operation and industry experience to provide various professional advice, and has expertise in the management and corporate governance of financial holding companies and the banking industry.</p>		<p>0</p>
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Independent Director Sharon Sheau-Wen Yang	<p>Ms. Sharon Sheau-Wen Yang is an independent director of Yuanta Life (2019/6~present) and serves as the executive director of the Financial Research Center, College of Commerce, National Chengchi University (2020/1~present), a trading committee convener of the Taiwan Futures Exchange (2023/1~present), the vice chair of the council of Taiwan Pension Fund Association (2023/6~present), a management committee member of the Insurance Business Development Fund of the Financial Supervisory Commission, R.O.C.(Taiwan) (FSC) (2024/10~present), and a management committee member of the 5th Greenhouse Gas Management Fund of Taiwan's Ministry of Environment (2025/3~present). She was also an investment-linked insurance review committee member of the Insurance Bureau of the FSC (2005/7~2016/4), a director and takeover committee member of the Taiwan Insurance Guaranty Fund (2011/5~2015/4), the settlement committee convener of the Taiwan Futures Exchange (2019/5~2022/12), and council member of the Asia Pacific Risk Management and Insurance Association (2009/8~2011/7). These positions meet the professional qualifications required under Article 9(1)(3), (3), and (10) (as applied to (9)(3)) of the <i>Regulations Governing Qualification Requirements for Responsible Persons of Financial Holding Companies</i>. Ms. Yang has expertise in the management of financial holding companies, the life insurance industry, and related financial industries, as well as sustainable finance, financial affairs, and actuarial science.</p>	<ol style="list-style-type: none"> <li>1. Not an employee of Yuanta FHC or an affiliate.</li> <li>2. Not a director of Yuanta FHC or any of its affiliated companies.</li> <li>3. Not a director, or his or her spouse or minor child, does not hold, in his or her own name or another name, more than 1% of Yuanta FHC's total outstanding shares, nor is one of Yuanta FHC's ten largest natural-person shareholders.</li> <li>4. Not a spouse, relative within the second degree of kinship, or lineal relative by blood within the third degree of kinship or closer to the managers described in Item 1 or persons described in Item 2 and Item 3.</li> <li>5. Not a director, supervisor, or employee of any institutional shareholder that has 5% or higher of the total shares issued by Yuanta FHC, or of top-5 institutional shareholders of Yuanta FHC, or the institutional shareholders who appoint their representatives to serve as the director or supervisor of Yuanta FHC in accordance with Paragraph 1 or Paragraph 2 of Article 27 of the <i>Company Act</i>.</li> <li>6. Not a director, supervisor or employee of any company controlled by the same person that holds a majority of the director seats or voting shares of Yuanta FHC.</li> <li>7. Not a director, supervisor or employee of any company who is the same person as, or the spouse of, chairman and president or equivalent of Yuanta FHC (this restriction does not apply to independent director positions in Yuanta FHC, its parent company or subsidiary, or any subsidiary of the same parent company which has been appointed concurrently in accordance with <i>Securities and Exchange Act</i>, or laws of the registered country).</li> <li>8. Not a director, supervisor, manager, or shareholder with more than 5% ownership interest in any companies or institutions that have a financial or business relationship with Yuanta FHC (this restriction does not apply to any specific company or organization which holds more than 20% but less than 50% of the total shares issued by Yuanta FHC, whose independent director is an independent director in Yuanta FHC, its parent company or subsidiary, or any subsidiary of the same parent company, who has been appointed in accordance with <i>Securities and Exchange Act</i>, or laws of the registered country).</li> <li>9. Not a professional individual who, or an owner, partner, director, supervisor, or officer of a sole proprietorship, partnership, company, or institution that provides auditing services to Yuanta FHC or any affiliated company of Yuanta FHC, or that provides commercial, legal, financial, accounting or related services to Yuanta FHC or any affiliated company of Yuanta FHC for which the provider in the past two years has received cumulative compensation not exceeding NT\$500,000, or a spouse thereof.</li> <li>10. Is not the spouse or relative within the second degree of kinship of another director.</li> <li>11. Is not a person of the conditions specified in any of the subparagraphs of Article 30 of the <i>Company Act</i>.</li> <li>12. Has not been elected as a government unit, institution, or their representative as prescribed in Article 27 of the <i>Company Act</i>.</li> </ol>	1
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Independent Director Rosemary Yung-Hsin Wang	<p>Ms. Rosemary Yung-Hsin Wang is currently an association affairs advisor to the Taiwan Securities Association. She previously served as the director general of the Securities and Futures Bureau of the FSC (2016/6~2020/5), the chief secretary of the FSC, deputy director general and section chief of the Securities and Futures Bureau of the FSC (2004/10 to 2016/6), and has also served as a director of the Taiwan Insurance Institute (2020/2~2022/3), a director and supervisor of the Taipei Exchange (2016/7 to 2020/5), and the representative of the Central American Bank for Economic Integration in the Republic of China (Taiwan) (2022/4~2023/4). These positions meet the professional qualifications required under Article 9(1)(2), (3), and (10) (as applied to (9)(2)) of the <i>Regulations Governing Qualification Requirements for Responsible Persons of Financial Holding Companies</i>. Ms. Wang possesses expertise in management, corporate governance, and financial supervision within the financial holding company sector, securities industry, and related financial industries.</p>	<ol style="list-style-type: none"> <li>1. Not an employee of Yuanta FHC or an affiliate.</li> <li>2. Not a director of Yuanta FHC or any of its affiliated companies.</li> <li>3. Not a director, or his or her spouse or minor child, does not hold, in his or her own name or another name, more than 1% of Yuanta FHC's total outstanding shares, nor is one of Yuanta FHC's ten largest natural-person shareholders.</li> <li>4. Not a spouse, relative within the second degree of kinship, or lineal relative by blood within the third degree of kinship or closer to the managers described in Item 1 or persons described in Item 2 and Item 3.</li> <li>5. Not a director, supervisor, or employee of any institutional shareholder that has 5% or higher of the total shares issued by Yuanta FHC, or of top-5 institutional shareholders of Yuanta FHC, or the institutional shareholders who appoint their representatives to serve as the director or supervisor of Yuanta FHC in accordance with Paragraph 1 or Paragraph 2 of Article 27 of the <i>Company Act</i>.</li> <li>6. Not a director, supervisor or employee of any company controlled by the same person that holds a majority of the director seats or voting shares of Yuanta FHC.</li> <li>7. Not a director, supervisor or employee of any company who is the same person as, or the spouse of, chairman and president or equivalent of Yuanta FHC (this restriction does not apply to independent director positions in Yuanta FHC, its parent company or subsidiary, or any subsidiary of the same parent company which has been appointed concurrently in accordance with <i>Securities and Exchange Act</i>, or laws of the registered country).</li> <li>8. Not a director, supervisor, manager, or shareholder with more than 5% ownership interest in any companies or institutions that have a financial or business relationship with Yuanta FHC (this restriction does not apply to any specific company or organization which holds more than 20% but less than 50% of the total shares issued by Yuanta FHC, whose independent director is an independent director in Yuanta FHC, its parent company or subsidiary, or any subsidiary of the same parent company, who has been appointed in accordance with <i>Securities and Exchange Act</i>, or laws of the registered country).</li> <li>9. Not a professional individual who, or an owner, partner, director, supervisor, or officer of a sole proprietorship, partnership, company, or institution that provides auditing services to Yuanta FHC or any affiliated company of Yuanta FHC, or that provides commercial, legal, financial, accounting or related services to Yuanta FHC or any affiliated company of Yuanta FHC for which the provider in the past two years has received cumulative compensation not exceeding NT\$500,000, or a spouse thereof.</li> <li>10. Is not the spouse or relative within the second degree of kinship of another director.</li> <li>11. Is not a person of the conditions specified in any of the subparagraphs of Article 30 of the <i>Company Act</i>.</li> <li>12. Has not been elected as a government unit, institution, or their representative as prescribed in Article 27 of the <i>Company Act</i>.</li> </ol>	0
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Independent Director Mang-Chih Lee	<p>Ms. Man-Chih Lee previously served as the director general of the Insurance Bureau of the FSC (2015/4~2017/8), the chief secretary of the FSC (2014/8~2015/4), and the head of the Legal Affairs Division (2010/8~2014/7), as well as the deputy CEO of the Union Bank of Taiwan (2020/10~2024/8), the head of the Legal Affairs Department at the Central Deposit Insurance Corporation (1998/8~2010/8), and the chairlady of the Financial Ombudsman Institution (2017/9~2020/9). These positions meet the professional qualifications required under Article 9(1)(2), (3), and (10) (as applied to (9)(2)) of the <i>Regulations Governing Qualification Requirements for Responsible Persons of Financial Holding Companies</i>. Ms. Lee has expertise in the management of financial holding companies, banking, and insurance, as well as corporate governance, law, and financial supervision.</p>	<ol style="list-style-type: none"> <li>1. Not an employee of Yuanta FHC or an affiliate.</li> <li>2. Not a director or supervisor of Yuanta FHC or any of its affiliated companies.</li> <li>3. Not a director, or his or her spouse or minor child, does not hold, in his or her own name or another name, more than 1% of Yuanta FHC's total outstanding shares, nor is one of Yuanta FHC's ten largest natural-person shareholders.</li> <li>4. Not a spouse, relative within the second degree of kinship, or lineal relative by blood within the third degree of kinship or closer to the managers described in Item 1 or persons described in Item 2 and Item 3.</li> <li>5. Not a director, supervisor, or employee of any institutional shareholder that has 5% or higher of the total shares issued by Yuanta FHC, or of top-5 institutional shareholders of Yuanta FHC, or the institutional shareholders who appoint their representatives to serve as the director or supervisor of Yuanta FHC in accordance with Paragraph 1 or Paragraph 2 of Article 27 of the <i>Company Act</i>.</li> <li>6. Not a director, supervisor or employee of any company controlled by the same person that holds a majority of the director seats or voting shares of Yuanta FHC.</li> <li>7. Not a director, supervisor or employee of any company who is the same person as, or the spouse of, chairman and president or equivalent of Yuanta FHC (this restriction does not apply to independent director positions in Yuanta FHC, its parent company or subsidiary, or any subsidiary of the same parent company which has been appointed concurrently in accordance with <i>Securities and Exchange Act</i>, or laws of the registered country).</li> <li>8. Not a director, supervisor, manager, or shareholder with more than 5% ownership interest in any companies or institutions that have a financial or business relationship with Yuanta FHC (this restriction does not apply to any specific company or organization which holds more than 20% but less than 50% of the total shares issued by Yuanta FHC, whose independent director is an independent director in Yuanta FHC, its parent company or subsidiary, or any subsidiary of the same parent company, who has been appointed in accordance with <i>Securities and Exchange Act</i>, or laws of the registered country).</li> <li>9. Not a professional individual who, or an owner, partner, director, supervisor, or officer of a sole proprietorship, partnership, company, or institution that provides auditing services to Yuanta FHC or any affiliated company of Yuanta FHC, or that provides commercial, legal, financial, accounting or related services to Yuanta FHC or any affiliated company of Yuanta FHC for which the provider in the past two years has received cumulative compensation not exceeding NT\$500,000, or a spouse thereof.</li> <li>10. Is not the spouse or relative within the second degree of kinship of another director.</li> <li>11. Is not a person of the conditions specified in any of the subparagraphs of Article 30 of the <i>Company Act</i>.</li> <li>12. Has not been elected as a government unit, institution, or their representative as prescribed in Article 27 of the <i>Company Act</i>.</li> </ol>	0
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Independent Director Tzong-Chen Wu	Mr. Tzong-Chen Wu is currently a distinguished professor at the Department of Information Management of the National Taiwan University of Science and Technology and chairman of the board of directors of the Telecom Technology Center (2019/10~2025/5). He served as an associate professor, professor, and the department chair of the Information Management Department, the executive director of the Cybersecurity Research and Teaching Center, and the dean of the School of Management. He was also the chairman of the Chinese Cryptology and Information Security Association (2006/5~2012/5) and an advisor in the Advisory Office of Taiwan's Ministry of Education (1999/1~2000/12). Mr. Wu specializes in information security research and is a professional leader in information security related fields.	<ol style="list-style-type: none"> <li>1. Not an employee of Yuanta FHC or an affiliate.</li> <li>2. Not a director or supervisor of Yuanta FHC or any of its affiliated companies.</li> <li>3. Not a director, or his or her spouse or minor child, does not hold, in his or her own name or another name, more than 1% of Yuanta FHC's total outstanding shares, nor is one of Yuanta FHC's ten largest natural-person shareholders.</li> <li>4. Not a spouse, relative within the second degree of kinship, or lineal relative by blood within the third degree of kinship or closer to the managers described in Item 1 or persons described in Item 2 and Item 3.</li> <li>5. Not a director, supervisor, or employee of any institutional shareholder that has 5% or higher of the total shares issued by Yuanta FHC, or of top-5 institutional shareholders of Yuanta FHC, or the institutional shareholders who appoint their representatives to serve as the director or supervisor of Yuanta FHC in accordance with Paragraph 1 or Paragraph 2 of Article 27 of the <i>Company Act</i>.</li> <li>6. Not a director, supervisor or employee of any company controlled by the same person that holds a majority of the director seats or voting shares of Yuanta FHC.</li> <li>7. Not a director, supervisor or employee of any company who is the same person as, or the spouse of, chairman and president or equivalent of Yuanta FHC (this restriction does not apply to independent director positions in Yuanta FHC, its parent company or subsidiary, or any subsidiary of the same parent company which has been appointed concurrently in accordance with <i>Securities and Exchange Act</i>, or laws of the registered country).</li> <li>8. Not a director, supervisor, manager, or shareholder with more than 5% ownership interest in any companies or institutions that have a financial or business relationship with Yuanta FHC (this restriction does not apply to any specific company or organization which holds more than 20% but less than 50% of the total shares issued by Yuanta FHC, whose independent director is an independent director in Yuanta FHC, its parent company or subsidiary, or any subsidiary of the same parent company, who has been appointed in accordance with <i>Securities and Exchange Act</i>, or laws of the registered country).</li> <li>9. Not a professional individual who, or an owner, partner, director, supervisor, or officer of a sole proprietorship, partnership, company, or institution that provides auditing services to Yuanta FHC or any affiliated company of Yuanta FHC, or that provides commercial, legal, financial, accounting or related services to Yuanta FHC or any affiliated company of Yuanta FHC for which the provider in the past two years has received cumulative compensation not exceeding NT\$500,000, or a spouse thereof.</li> <li>10. Is not the spouse or relative within the second degree of kinship of another director.</li> <li>11. Is not a person of the conditions specified in any of the sub paragraphs of Article 30 of the <i>Company Act</i>.</li> <li>12. Has not been elected as a government unit, institution, or their representative as prescribed in Article 27 of the <i>Company Act</i>.</li> </ol>	0
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Note 1: According to Paragraph 2, Article 4 of the *Regulations Governing Appointment of Independent Directors and Compliance Matters for Public Companies* amended and promulgated by Financial Supervisory Commission, Executive Yuan on January 15, 2020, where any independent director of a financial holding company concurrently acts as an independent director of more than one subsidiary wholly owned by the company, the number of additional subsidiaries in which he concurrently acts as an independent director shall be counted as "other unrelated" public companies. Ms. Sharon Sheau-Wen Yang's concurrent position as an independent director of Yuanta Life (not publicly traded), a subsidiary of Yuanta FHC, is not included in the calculation of the number of concurrent positions.

Note 2: For the following items, this restriction does not apply to independent director positions in Yuanta FHC, its parent company or subsidiary, or any subsidiary of the same parent company which has been appointed concurrently in accordance with *Securities and Exchange Act* or laws of the registered country:

1. Not a director or supervisor of Yuanta FHC or any of its affiliated companies.
2. Not a director, supervisor, or employee of any institutional shareholder that has 5% or higher of the total shares issued by Yuanta FHC, or of top-5 institutional shareholders of Yuanta FHC, or the institutional shareholders who appoint their representatives to serve as the director or supervisor of Yuanta FHC in accordance with Paragraph 1 or Paragraph 2 of Article 27 of the *Company Act*.
3. Not a director, supervisor or employee of any company controlled by the same person that holds a majority of the director seats or voting shares of Yuanta FHC.
4. Not a director, supervisor or employee of any company who is the same person as, or the spouse of, chairman and president or equivalent of Yuanta FHC.
5. Not a director, supervisor, manager, or shareholder with more than 5% ownership interest in any companies or institutions that have a financial or business relationship with Yuanta FHC.