

November 20, 2020

Yuanta FHC announces change of its CRO and CSO

1. Type of personnel changed (please enter: spokesperson, acting spokesperson, important personnel (CEO, COO, CMO, CSO, etc.), financial officer, accounting officer, corporate governance officer, research and development officer, chief internal auditor, or designated and non-designated representatives) :
(1) CRO; (2) CSO
2. Date of occurrence of the change: 2020/11/20
3. Name, title, and resume of the previous position holder:
(1) CRO: Tsai Yu Chang/Senior Vice President of Yuanta Financial Holdings
(2) CSO: Xuan Min Guo/Senior Vice President of Yuanta Financial Holdings
4. Name, title, and resume of the new position holder:
(1) CRO: Xuan Min Guo/Senior Vice President of Yuanta Financial Holdings
(2) CSO: Chieh Wu/Vice Chairman of Yuanta Securities Finance
5. Type of the change (please enter: "resignation", "position adjustment", "dismissal", "retirement", "death" or "new replacement") : position adjustment
6. Reason for the change: position adjustment
7. Effective date: 2020/11/20
8. Any other matters that need to be specified: N/A