

January 16, 2020

Yuanta FHC announces the change of the internal audit officer of Yuanta Securities Finance

1. Type of personnel changed (please enter: spokesperson, acting spokesperson, important personnel(CEO, COO, CMO, CSO, etc.) ,financial officer, accounting officer, Company Secretary, research and development officer, or internal audit officer) : internal audit officer
2. Date of occurrence of the change: 2020/01/16
3. Name, title, and resume of the replaced person:
Cheng Shu Chin/ Senior Manager of Yuanta Securities Finance
4. Name, title, and resume of the replacement:
Tsao Chung Hsien/ Manager of Yuanta Securities Investment Consulting
5. Type of the change (please enter: "resignation", "position adjustment", "dismissal", "retirement", "death" or "new replacement") : position adjustment
6. Reason for the change: position adjustment
7. Effective date: 2020/02/01
8. Any other matters that need to be specified: N/A