

January 16, 2020

Yuanta FHC announces change of internal audit officer of Yuanta Securities Investment Consulting

1. Type of personnel changed (please enter: spokesperson, acting spokesperson, important personnel(CEO, COO, CMO, CSO, etc.) ,financial officer, accounting officer, Company Secretary, research and development officer, or internal audit officer) : Internal audit officer
2. Date of occurrence of the change: 2020/01/16
3. Name, title, and resume of the replaced person: Mr. Chung-Hsien Tsao / Manager of Yuanta Securities Investment Consulting
4. Name, title, and resume of the replacement: Ms. Shu-Chin Cheng / Senior Manager of Yuanta Securities Finance
5. Type of the change (please enter: "resignation", "position adjustment", "dismissal", "retirement", "death" or "new replacement") : Position adjustment
6. Reason for the change: Position adjustment
7. Effective date: 2020/02/01
8. Any other matters that need to be specified: N/A