

September 28, 2018

Yuanta FHC announces the re-election of the board of directors of Yuanta Securities (HK)

- 1.Date of occurrence of the change:2018/09/28
- 2.Appointment of or changed personnel (juristic-person director, juristic-person supervisor, independent director, natural-person director or natural-person supervisor):Natural-person director
- 3.Title, name and resume of the replaced personnel:
 - Mr. Chen Hsiu Wei/ Chief Strategy Officer of YFH
 - Mr. Chen Chi Chang/ President of Yuanta Securities
 - Mrs. Chen Miao Ju/ President of Yuanta Securities (HK)
 - Mr. Tan Pei San/ Director of Yuanta Securities Asia Financial Services
 - Mr. Kuo Ming Cheng/ Chief Corporate Banking Officer of YFH
 - Mr. Wang Yi Min/ Executive Vice President of Yuanta Securities
 - Mr. Kuo Feng Hsiang/ Director of Yuanta Securities Asia Financial Services
 - Mr. Hsu Wen Ching/ Vice President of Yuanta Securities
 - Mr. Wen Tsung Hsien/ COO of Yuanta Securities (HK)
 - Mr. Wan Ngar Yin/ Director of Yuanta Securities (HK)
- 4.Title, name and resume of the new personnel:
 - Mr. Chen Hsiu Wei/ Chief Strategy Officer of YFH
 - Mr. Chen Chi Chang/ President of Yuanta Securities
 - Mrs. Chen Miao Ju/ President of Yuanta Securities (HK)
 - Mr. Tan Pei San/ Director of Yuanta Securities Asia Financial Services
 - Mr. Kuo Ming Cheng/ Chief Corporate Banking Officer of YFH
 - Mr. Wang Yi Min/ Executive Vice President of Yuanta Securities
 - Mr. Kuo Feng Hsiang/ Director of Yuanta Securities Asia Financial Services
 - Mr. Hsu Wen Ching/ Vice President of Yuanta Securities
 - Mr. Wen Tsung Hsien/ COO of Yuanta Securities (HK)
 - Mr. Wan Ngar Yin/ Director of Yuanta Securities (HK)
- 5.Type of the change (please enter: “resignation”, “discharge”, “tenure expired”, “death” or “new appointment”):Tenure expired
- 6.Reason for the change: Pursuant to the articles of association of Yuanta Securities (HK), all directors are subject to re-election at each AGM.
- 7.Number of shares held by the new personnel at the time of appointment: N/A
- 8.Original term (from _____ to _____): N/A
- 9.Effective date of the new appointment:2018/09/28

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10. Rate of turnover of directors of the same term: N/A
11. Rate of turnover of supervisor of the same term: N/A
12. Rate of turnover of independent director of the same term: N/A
13. Change in one-third or more of directors ("Yes" or "No"): No
14. Any other matters that need to be specified: N/A