

September 17, 2019

Yuanta FHC announces the change of director of Polaris Securities (HK)

1. Date of occurrence of the change: 2019/09/17
2. Appointment of or changed personnel (juristic-person director, juristic-person supervisor, independent director, natural-person director or natural-person supervisor) : Natural-person director
3. Title, name and resume of the replaced personnel:
Mr. Wan Tsung Hsien/ Director of Polaris Securities(HK)
Mr. Wan Siu Shun/ Director of Polaris Securities(HK)
4. Title, name and resume of the new personnel:
Ms. Chen Miao Ju/ President of Yuanta Securities(HK)
Ms. Yu Hsiao Tsui/ President of Yuanta Asia Investment
5. Type of the change (please enter: "resignation", "discharge", "tenure expired", "death" or "new appointment") : Resignation
6. Reason for the change: Resignation
7. Number of shares held by the new personnel at the time of appointment:
N/A
8. Original term (from _____ to _____) : N/A
9. Effective date of the new appointment: 2019/09/17
10. Rate of turnover of directors of the same term: 2/3
11. Rate of turnover of supervisor of the same term: N/A
12. Rate of turnover of independent director of the same term: N/A
13. Change in one-third or more of directors ("Yes" or "No") : YES
14. Any other matters that need to be specified: N/A