

December 07, 2021

**Announcement of Yuanta Financial Holding Company's un-audited net income for November, 2021**
**Financial Data for Yuanta FHC and Each Subsidiary (Parent Company)**
**Earnings by Subsidiary**

Unit: NTD mns

| Subsidiary                        | November   | Year To Date |      |                                      |
|-----------------------------------|------------|--------------|------|--------------------------------------|
|                                   | Net Income | Net Income   | EPS  | EPS excluding FX volatility reserves |
| Yuanta FHC                        | 2,902      | 33,225       | 2.74 | 2.72                                 |
| Yuanta Securities                 | 2,090      | 22,065       | 3.69 |                                      |
| Yuanta Bank *                     | 557        | 8,009        | 1.08 |                                      |
| Yuanta AMC                        | 10         | 131          | 0.41 |                                      |
| Yuanta Futures                    | 46         | 824          | 2.84 |                                      |
| Yuanta Venture Capital            | 49         | 782          | 2.88 |                                      |
| Yuanta Funds                      | 148        | 1,760        | 7.76 |                                      |
| Yuanta Sec. Investment Consulting | 0          | 3            | 0.27 |                                      |
| Yuanta Life                       | 143        | 1,996        | 0.84 | 0.73                                 |

\* Yuanta Bank posted FX losses of NTD 2mn in November and losses of NTD 156mn YTD on TC Bank's USD assets.

**Yuanta Securities Revenue Breakdown (parent company)**

Unit: NTD mns

|                      | November | Year-to-date |
|----------------------|----------|--------------|
| Brokerage Commission | 2,242    | 23,270       |
| Interest Income      | 337      | 3,604        |
| Proprietary Trading  | 846      | 8,927        |
| Corporate Finance    | 335      | (143)        |
| Financial Products   | 129      | 1,735        |
| WM Fee Income        | 66       | 1,027        |
| Others               | 333      | 6,259        |
| Total                | 4,288    | 44,679       |

**Yuanta Bank Condensed Income Statement (parent company)**

Unit: NTD mns

|                                       | November     | Year-to-date  |
|---------------------------------------|--------------|---------------|
| Net interest income                   | 1,063        | 11,526        |
| Non-interest income                   | 656          | 8,058         |
| <b>Operating income</b>               | <b>1,719</b> | <b>19,584</b> |
| Operating expense                     | 984          | 10,066        |
| <b>Pre-provision operating income</b> | <b>735</b>   | <b>9,518</b>  |
| Provisions                            | 106          | 428           |
| Net income before taxes               | 629          | 9,090         |
| <b>Net income</b>                     | <b>557</b>   | <b>8,009</b>  |

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