

December 23, 2020

Yuanta FHC announces its CISO and change of its CLO

1. Type of personnel changed (please enter: spokesperson, acting spokesperson, important personnel (CEO, COO, CMO, CSO, etc.), financial officer, accounting officer, corporate governance officer, research and development officer, chief internal auditor, or designated and non-designated representatives) : (1) CISO (2) CLO
2. Date of occurrence of the change: 2020/12/23
3. Name, title, and resume of the previous position holder:
(1) CISO: N/A
(2) CLO: Cheng Hua Sun/Vice President of Yuanta Financial Holdings
4. Name, title, and resume of the new position holder:
(1) CISO: Hsiu Mei Chen/Senior Vice President of Yuanta Financial Holdings
(2) CLO: Yung Chu Su/Vice President of Yuanta Securities
5. Type of the change (please enter: "resignation", "position adjustment", "dismissal", "retirement", "death" or "new replacement") : (1) CISO: new replacement (2) CLO: resignation
6. Reason for the change: (1) CISO: new replacement (2) CLO: resignation
7. Effective date: (1) CISO: 2020/12/28 (2) CLO: 2021/1/1
8. Any other matters that need to be specified: N/A