

**Yuanta Financial Holding Company**  
**Energy and Climate Change Management Policy**

*Approved by the Board of Directors on November 27, 2017 (21st meeting of the 7th board)*

**Article 1**

In order to fulfill the Company's corporate social responsibility, to respond to the issues of global energy shortage and climate change, and to implement the concept of sustainable development in the Company's management and operations, the Company sets this Policy.

**Article 2**

Based on the principles of upholding corporate social responsibility and promoting environmentally sustainable development, the Company and its subsidiaries shall strive to implement measures toward energy management and reduction of greenhouse gas emissions, ensuring the following:

- 1) The Company shall be committed to reducing the environmental impact of its operations and gradually introducing international standard management systems in line with the particular character of the Company's business, building a basis for ongoing improvement into the future based on an understanding of the Company's impact on the environment, energy use situation, and sources of greenhouse gas emissions.
- 2) In response to the impact of climate change on operational activities, and based on operational conditions and without affecting facility operations and safety controls, the Company shall adopt both domestic and foreign common standards or guidelines to implement carbon reduction and energy saving action plans and strategies in response to climate change.
- 3) In establishing a green corporate culture with a focus on energy usage, the Company shall fulfill its responsibility to the environment and pursue sustainable development with a spirit of cost-effectiveness and minimal cost.
- 4) The Company shall comply with environmental laws and regulations, actively take measures to respond to trends in carbon reduction and energy saving, and formulate energy conservation goals and plans which are regularly reviewed for their effectiveness. The Company shall support green purchasing, establish mechanisms

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for education and training in energy savings and responsible work sharing, and strive for an overall increase in energy usage efficiency.

5) When constructing self-owned buildings for business use, the Company shall aim to obtain a Green Building Mark upon completion, ensuring that energy is used in the most sustainable way, providing suitable resources and pursuing ongoing improvements in energy usage performance and greenhouse gas emissions levels.

6) The Company shall develop innovative services related to green finance through responsible investment and the establishment of green credit mechanisms, ensuring that funds are used for the benefit of the environment and society, making real our commitment to green finance and boosting the value of our services.

### **Article 3**

In order to promote environmental protection, and to integrate corporate social responsibility within the Company's overall corporate governance framework, this Policy shall serve as the foundation of the Company's sustainable development efforts.

### **Article 4**

This Policy shall take effect, along with any subsequent amendments, upon receiving approval from the Company's board of directors.