

## 2024 Operation of the Risk Management Committee

The risk management committee convened 5 (A) meetings in the most recent year (2024), and the attendance of the committee members is as follows:

Title	Name	Number of Actual Attendance (B)	Number of Attendance by Proxy	Actual Attendance Rate (%) (B/A) (Note)	Remarks
Convener	Sheau Wen Yang	5	0	100	
Committee Member	Tony Shen	5	0	100	
Committee Member	Hsing Yi Chow	5	0	100	
<p>Other items which should be recorded:</p> <ol style="list-style-type: none"> <li>In cases where the board of directors does not adopt or amend the proposal by the risk management committee, the minutes concerned shall clearly state the meeting date of the board of directors, term, contents of motions, resolutions, and the Company's handling of the risk management committee's opinions: None.</li> <li>For resolutions reached by the risk management committee, if there were any objections or reservations expressed by committee members which were recorded in the minutes or in written statements, the minutes concerned shall clearly state the meeting date of the risk management committee, term, contents of motions, opinions of all members and actions taken on these opinions: None.</li> <li>Other important motions and their implementation results are set out below: <ol style="list-style-type: none"> <li>The 6th risk management committee meeting of the 9th board of directors on March 19, 2024 <ol style="list-style-type: none"> <li>The Company's financial risk management and risk analysis report for the fourth quarter of 2023.</li> <li>The Company's operational risk monitoring report for the fourth quarter of 2023.</li> </ol> *Minutes of proceedings reported to the 26th meeting of the 9th board of directors on April 24, 2024 </li> <li>The 7th risk management committee meeting of the 9th board of directors on May 21, 2024 <ol style="list-style-type: none"> <li>The Company's financial risk management and risk analysis report for the first quarter of 2024.</li> <li>The Company's operational risk monitoring report for the first quarter of 2024.</li> <li>Amendments to the Risk Management Committee Charter of Yuanta Financial Holding Co., Ltd.</li> </ol> *Minutes of proceedings reported to the 28th meeting of the 9th board of directors on June 26, 2024 </li> <li>The 8th risk management committee meeting of the 9th board of directors on August 20, 2024 <ol style="list-style-type: none"> <li>The Company's financial risk management and risk analysis report for the second quarter of 2024.</li> <li>The Company's operational risk monitoring report for the second quarter of 2024.</li> </ol> *Minutes of proceedings reported to the 31st meeting of the 9th board of directors on September 25, 2024 </li> <li>The 9th risk management committee meeting of the 9th board of directors on November 19, 2024 <ol style="list-style-type: none"> <li>The Company's financial risk management and risk analysis report for the third quarter of 2024.</li> <li>The Company's operational risk monitoring report for the third quarter of 2024.</li> </ol> *Minutes of proceedings reported to the 34th meeting of the 9th board of directors on December 25, 2024 </li> <li>The 10th risk management committee meeting of the 9th board of directors on December 17, 2024 <ol style="list-style-type: none"> <li>Drafting of the "2025 Schedule of Actions" and "2025 Scheduled Meeting Schedule" of the risk management committee of the Company.</li> <li>Evaluation and management report of the operational risks in 2025</li> <li>2024 risk management mechanism evaluation report.</li> <li>Establishment of the Company's various risk limits for 2025.</li> <li>Establishment of the operational risk monitoring indicators and thresholds for 2025.</li> </ol> *Minutes of proceedings reported to the 35th meeting of the 9th board of directors on January 20, 2025 </li> </ol> </li> </ol>					

- Note: (1) If a committee member resigns before the end of the year, the date of resignation shall be indicated in the Remarks column. The actual attendance rate (%) is calculated based on the number of meetings of the risk management committee and their actual attendance during their term of office.
- (2) If there is a re-election of committee members before the year-end, both new and former directors of the board shall be listed, and the directors shall be marked as former, new, or re-elected, and the date of re-election shall be indicated in the Remarks column. The actual attendance rate (%) is calculated based on the number of meetings of the risk management committee and their actual attendance during their term of office.