

## 2023 Yuanta FHC Directors of the Board's Continuing Education

Name	Title	Course Dates		Course Name	Organizer	Course Hours (Hour)	Total Hours of Courses
		Starting	Ending				
Ting Chien (Tony) Shen	Chairman of the Board	2023.03.16	2023.03.16	Money Laundering Prevention and Countering Financing of Terrorism and Directors' Legal Obligations and Responsibilities Promotion	Institute of Financial Law and Crime Prevention	3	9.0
		2023.05.18	2023.05.18	<i>Financial Consumer Protection Act</i> and Fair Treatment of Customers	Taiwan Securities Association	3	
		2023.09.07	2023.09.07	The Help and Impact of Emerging Information Security Technologies on Digital Innovation in Finance	Taiwan Securities Association	3	
Chien Weng	Director of the Board	2023.03.16	2023.03.16	Money Laundering Prevention and Countering Financing of Terrorism and Directors' Legal Obligations and Responsibilities Promotion	Institute of Financial Law and Crime Prevention	3	14.0
		2023.04.28	2023.04.28	Organizational Resilience and Business Development through Sustainable Finance Assessment Observations	Yuanta Financial Holding Company, Ltd.	2	
		2023.05.18	2023.05.18	<i>Financial Consumer Protection Act</i> and Fair Treatment of Customers	Taiwan Securities Association	3	

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		2023.07.13	2023.07.13	Net-Zero Carbon Emissions and Corporate Governance	Taiwan Securities Association	3	
		2023.09.07	2023.09.07	The Help and Impact of Emerging Information Security Technologies on Digital Innovation in Finance	Taiwan Securities Association	3	
Daniel YM Song	Director of the Board	2023.03.16	2023.03.16	Money Laundering Prevention and Countering Financing of Terrorism and Directors' Legal Obligations and Responsibilities Promotion	Institute of Financial Law and Crime Prevention	3	9.0
		2023.05.18	2023.05.18	<i>Financial Consumer Protection Act</i> and Fair Treatment of Customers	Taiwan Securities Association	3	
		2023.09.07	2023.09.07	The Help and Impact of Emerging Information Security Technologies on Digital Innovation in Finance	Taiwan Securities Association	3	
Wei Chen (Michael) Ma	Director of the Board	2023.03.16	2023.03.16	Money Laundering Prevention and Countering Financing of Terrorism and Directors' Legal Obligations and Responsibilities Promotion	Institute of Financial Law and Crime Prevention	3	9.0
		2023.05.18	2023.05.18	<i>Financial Consumer Protection Act</i> and Fair Treatment of Customers	Taiwan Securities Association	3	

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		2023.09.07	2023.09.07	The Help and Impact of Emerging Information Security Technologies on Digital Innovation in Finance	Taiwan Securities Association	3	
Chung Yuan Chen	Director of the Board	2023.03.16	2023.03.16	Money Laundering Prevention and Countering Financing of Terrorism and Directors' Legal Obligations and Responsibilities Promotion	Institute of Financial Law and Crime Prevention	3	14.0
		2023.04.28	2023.04.28	Organizational Resilience and Business Development through Sustainable Finance Assessment Observations	Yuanta Financial Holding Company, Ltd.	2	
		2023.05.18	2023.05.18	<i>Financial Consumer Protection Act</i> and Fair Treatment of Customers	Taiwan Securities Association	3	
		2023.07.13	2023.07.13	Net-Zero Carbon Emissions and Corporate Governance	Taiwan Securities Association	3	
		2023.09.07	2023.09.07	The Help and Impact of Emerging Information Security Technologies on Digital Innovation in Finance	Taiwan Securities Association	3	
Hsing Yi Chow	Independent Director of the Board	2023.03.16	2023.03.16	Money Laundering Prevention and Countering Financing of Terrorism and Directors' Legal	Institute of Financial Law and Crime Prevention	3	21.0

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				Obligations and Responsibilities Promotion			
		2023.05.18	2023.05.18	<i>Financial Consumer Protection Act</i> and Fair Treatment of Customers	Taiwan Securities Association	3	
		2023.06.07	2023.06.07	The Future of Enterprises in a War Situation: Tactical Shift & Strategic Transformation	Taiwan Institute of Directors	3	
		2023.08.24	2023.08.24	Seminar on Advocating the Implementation Strategies for Sustainable Development Transformation of the Securities Industry	Taiwan Stock Exchange Corporation	3	
		2023.09.07	2023.09.07	The Help and Impact of Emerging Information Security Technologies on Digital Innovation in Finance	Taiwan Securities Association	3	
		2023.10.30	2023.10.30	ESG Practices Analysis	Taiwan Corporate Governance Association	3	
		2023.10.30	2023.10.30	Sustainability Trends and Board Governance	Taiwan Corporate Governance Association	3	
Kuang Si Shiu	Independent Director of the Board	2023.03.16	2023.03.16	Money Laundering Prevention and Countering Financing of Terrorism and Directors' Legal Obligations and	Institute of Financial Law and Crime Prevention	3	18.0

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				Responsibilities Promotion			
		2023.04.26	2023.04.26	The Latest Development and Trend of Legislative Amendments of International and Domestic Taxes	Accounting Research and Development Foundation	3	
		2023.04.27	2023.04.27	Promotion Meeting on the Action Plan for the Sustainable Development of Listed and Over-the-Counter (OTC) Companies	Co-organized by the Taiwan Stock Exchange and Taipei Exchange	3	
		2023.05.18	2023.05.18	<i>Financial Consumer Protection Act</i> and Fair Treatment of Customers	Taiwan Securities Association	3	
		2023.07.13	2023.07.13	Net-Zero Carbon Emissions and Corporate Governance	Taiwan Securities Association	3	
		2023.09.07	2023.09.07	The Help and Impact of Emerging Information Security Technologies on Digital Innovation in Finance	Taiwan Securities Association	3	
Ming Ling Hsueh	Independent Director of the Board	2023.03.10	2023.03.10	Strange 2023 Global Economic Situation	Taiwan Corporate Governance Association	1	21.0
		2023.03.16	2023.03.16	Money Laundering Prevention and Countering Financing of Terrorism and Directors' Legal Obligations and Responsibilities Promotion	Institute of Financial Law and Crime Prevention	3	

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		2023.05.18	2023.05.18	<i>Financial Consumer Protection Act</i> and Fair Treatment of Customers	Taiwan Securities Association	3	
		2023.05.25	2023.05.25	Driving ESG from Board Enhancement - Case Study on Linking Executive Remuneration to ESG Performance	Taiwan Corporate Governance Association	1	
		2023.07.27	2023.07.27	The Role of Financial Decision Making in Business Operation (Lecturer 3 hours)	Taiwan Corporate Governance Association	1	
		2023.08.30	2023.08.30	Chat GPT's Impact on Industries and Responses	Taiwan Corporate Governance Association	1	
		2023.09.01	2023.09.01	Exercising the Professional Functions of Independent Directors with a Constructive Mindset (Lecturer 3 hours)	Taiwan Corporate Governance Association	1	
		2023.09.07	2023.09.07	The Help and Impact of Emerging Information Security Technologies on Digital Innovation in Finance	Taiwan Securities Association	3	
		2023.10.13	2023.10.13	Trends and Risk Management of Digital Technology and Artificial Intelligence	Taiwan Corporate Governance Association	3	
		2023.10.30	2023.10.30	Points to Note for Mergers and Acquisitions	Taiwan Corporate Governance Association	3	

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		2023.12.28	2023.12.28	King's Way Accounting and Corporate Governance	Taiwan Corporate Governance Association	1	
Sheau Wen Yang	Independent Director of the Board	2023.03.16	2023.03.16	Money Laundering Prevention and Countering Financing of Terrorism and Directors' Legal Obligations and Responsibilities Promotion	Institute of Financial Law and Crime Prevention	3	12.0
		2023.05.18	2023.05.18	<i>Financial Consumer Protection Act</i> and Fair Treatment of Customers	Taiwan Securities Association	3	
		2023.07.13	2023.07.13	Net-Zero Carbon Emissions and Corporate Governance	Taiwan Securities Association	3	
		2023.09.07	2023.09.07	The Help and Impact of Emerging Information Security Technologies on Digital Innovation in Finance	Taiwan Securities Association	3	